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## ECONOMETRIC ANALYSIS OF ACCEPTANCE OF SOIL AND WATER CONSERVATION TECHNIQUES IN THE SEMIARID REGION OF ZAGHOUAN (NORTH-EAST TUNISIA)

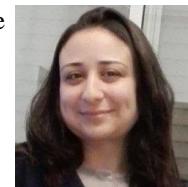
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### ABSTRACT

The Tunisian government has established some strategies to face soil erosion. In this research, we aim to study the perception of farmers towards these conservation structures in terms of the acceptability of installation of these amenities and terms of their maintenance. We try to define the socio-economic variables that explain why some farmers in the study area accept the installation of soil conservation facilities while others do not and at what rate of maintenance. Therefore, we used Tobit and Probit models to examine respectively the probability of acceptance of conservations amenities (agreement of farmers that conservation techniques be implemented on their lands) and the rate of acceptance (allocation of land for maintained CTs). It comes out that the factors affecting CTs acceptance are land size, animal husbandry, irrigation from the lake, and land tenure.

### Contribution/ Originality

This study contributes to the existing literature to identify the variables that affect farmers' perception of soil and water conservation facilities. It has investigated the factors that influence the rate of adoption and maintenance of these amenities. Using econometric analysis, this paper contributes to logical analysis about the social, technical and economical characteristics have influential roles in farmers' decisions related to the adoption of natural resources conservation technologies.

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## 1. INTRODUCTION

Land degradation is a major challenge to effective agricultural production within dryland areas. This is especially true for countries such as Tunisia where land degradation has been of significant historical and contemporary concern ([FAO, 2011](#)).

[Laajili and Stambouli \(2019\)](#) affirm that the combined effects of global climate change and human activities on the degradation of natural resources generated significant economic and social costs related to the consequences of these modifications and the proposed solutions. To cope with this worrying situation, the integration of the environmental component to respond to agriculture and sustainable development is essential. Watershed management is an important component of sustainable development, integrating the physical and human potentials found in the watershed.

In Tunisia, it is essential to provide a more rational use of soil and water resources to meet the growing demand for water from the agricultural sector and limit the spread and the harmful effects of water erosion.

Indeed, Tunisia ranks among the Mediterranean countries most threatened by water erosion, in particular, semi-arid areas due to its geographic location in the Mediterranean basin, its rugged terrain, its fine soils poor in organic matter as well as its increasingly reduced plant cover. The aggressiveness of the climate generates significant losses of runoff water pouring into the seas ([Melalih, 2012](#)). Above and beyond, the population growth and the economic expansion of the country make the soil resource and even more, the water resource becomes more and more scarce requiring special management. The Department of Water and Soil Conservation affiliated to the Ministry of Agriculture has defined a national strategy aiming at collecting runoff water from small watersheds and developing stone benches and cords ([Ministry of Agriculture Water Resources and Fisheries of Tunisia, 2014](#)).

Soil and Water Conservation interventions were extensively implemented within the country since the 1950s, yet, their achievements have fallen below expectations ([Roose \*et al.\*, 2012](#)).

This study was carried out in 2018 in the north-east of Tunisia and particularly in the governorate of Zaghouen at Oued Sbaiha watershed where the strategy for the conservation of natural resources has been applied since 2001 ([CRDA of Zaghouen, 2019](#)). It should also be noted that soil and water conservation techniques (SWCT) are installed by the Government at the request of the farmer. Except that some farmers maintain these facilities and others do not. This study aims to know whether the farmers -whose lands are managed by the conservation techniques (CTs) are satisfied with these conservation developments and are therefore favorable to this conservation strategy? Do they maintain these conservation structures? This could express their acceptance rates for these strategies.

It is in this context that this study provided knowledge on the social, economic, and technical factors affecting farmers' perception of conservation techniques. Previous research studies conducted in different areas of Tunisia indicate that different individual, economic, social, institutional and biophysical characteristics have influential roles in farmers' decisions related to the adoption of CT technologies ([Bachta, 1995; Ouessar \*et al.\*, 2006; He \*et al.\*, 2007; Hall \*et al.\*, 2009; König \*et al.\*, 2012; Jara \*et al.\*, 2013](#)).

To achieve this objective, the econometric analysis was derived from cross-sectional data for a single production period. The Probit and Tobit models were estimated econometrically to assess respectively the acceptance probability (i.e., are farmers favorable towards conservation techniques or not) and the acceptance rate (i.e. do farmers maintain conservation techniques, this being expressed in terms of the area of land managed by conservation) by the farmers interviewed. Data

were compiled using SPSS and analyzed using descriptive statistics, with econometric analyses undertaken to compare rates of adoption (and factors for adoption) between adopters and non-adopters ([Dhehibi \*et al.\*, 2018](#)).

## 2. METHODOLOGICAL FRAMEWORKS

### 2.1. Study area

Zaghouan governorate is located on the eastern slope of Mount Zaghouan and dominates a vast agricultural plain (Figure 1). The Oued Sbaihia watershed is administratively attached to the Zaghouan delegation. This area is on the ridge of the Tell Atlas. The area is located in the semi-arid bioclimatic floor. The latitude to the right of the site is 36G41 'while the longitude is 10G19'. The examination of the temperature data showed the variation of the temperatures recorded during the year 2018, which vary between 37.8°C in July, and 6°C in February ([FAO, 2011](#)).



**Figure 1: The geographical location of the Oued Sbaihia watershed at the governorate of Zaghouan, Tunisia**

A visit of the whole Oued Sbaihia watershed was necessary to identify the two groups of farmers: 1) farmers who accept to adopt soil conservation techniques and maintain them 2) farmers who are reluctant towards these techniques.

A structured questionnaire has been designed, it contains 3 parts to collect general information on the farmer, his farm and his household, information on agricultural activities and information on CTs facilities (i.e. the presence or absence of the facilities and their current state, in other words, facilities maintained or damaged). The survey was designed as a simple random sample. A total of 134 usable questionnaires were recorded over a total of 206, representing a survey response rate of 65% which was suitable for this study, divided as follow: 56 farmers out of 134 accept to adopt soil conservation techniques and maintain them while 78 farmers out of 134 are reluctant towards these techniques.

The observed facilities are many, particularly: benches, gabion works, acacia, and Aleppo plantations for benches' consolidations, hill lakes, individual bowls, etc. ([Ministry of Agriculture Water Resources and Fisheries of Tunisia, 2014](#)). A detailed review of the local scientific and technical literature related to these interventions was recently compiled by [Zucca \*et al.\* \(2015\)](#).

## 2.2. Data analysis

We used SPSS to code and edit data derived from questionnaires. Then, we used econometric methods such as Probit and Tobit models to precede at hypotheses tests (Landau and Everitt, 2004). We used the Probit model to examine variables influencing the agreement and acceptability of farmers towards soil and water conservation amenities. Also, we used the Tobit model to examine the variables that influence the level of acceptability of farmers (Gara, 2011).

**Dhehibi *et al.* (2018)** have researched to analyze the adoption of soil and water conservation techniques in the semiarid region of 'Sidi Bouzid' in the central part of Tunisia.

Probit and Tobit models are widely used to understand the main determinants of CT adoption which is a complicated process, similar to any other research on agricultural technology adoption, given the influence of a set of interrelated biophysical, socioeconomic, and institutional factors (Adesina and Chianu, 2002).

Many studies such as [Tobin \(1958\)](#), [McDonald \*et al.\* \(1980\)](#), [Adesina and Chianu \(2002\)](#), [Menozzi \*et al.\* \(2014\)](#), and [Dhehibi \*et al.\* \(2018\)](#) defined Probit and Tobit as econometrical models used to explain the relationship between dependant variable and independent variables.

The Probit model is used to understand the impact of some variables (independent variables) on the affected variable (dependant variable). This latter gives information by answering 'yes' or 'no'. Thus, it takes the value '1' when the answer is 'yes' and '0' when the answer is 'no', it is what we call a dummy variable (it takes zero or one). In our case, the question is: do the farmer is willing to adopt CT? The Answer is argued by the independent variables. That is to say, some independent variables explain why the farmer is favourable or not to adopt CT.

As for the Tobit model, it gives information about how much the dependant variable is affected by the independent variables. The rate of dependency takes a value truncated from '0' to '1' that is why it is a continuous value.

In what follows, we identify the dependant and independent variables for both Probit and Tobit models.

### 2.2.1. Probit model

To study the factors that affect CT agreement (rate of approval), a dummy variable, '*A*' (*meaning Agreement or acceptance of the farmer to adopt CT*) was applied: it takes one if the household head *i* of household *j* accepts CTs to be installed at his farmland and zero otherwise, as described at the equation (1).

Note that:

*F* is a set of farmer characteristics (e.g. age, education, training, etc.)

$L$  is a set of land characteristics: (e.g. household size, land ownership, etc.)

$P$  is a set of the production system (e.g. size of farm, land ownership, number of cultures)

$C$  is a set of characteristics related to soil and water facilities (e.g. existence of benches and proximity to the lake).

That is to say,  $A$  depends on  $F$ ,  $L$ ,  $P$ , and  $C$ . In other words, the acceptance of the farmer to adopt CT is affected by these variables: age, education, training, household size, land ownership, size of farm, land ownership, number of cultures, the existence of benches and proximity to the lake.

### 2.2.2. Tobit model

In addition, we used Tobit model to express the intensity of agreement by measuring the proportion of land allocated for CTs that are maintained by farmers. This latter is a continuous variable truncated between zero and one and called 'M' to express the rate of maintenance of these conservation facilities by farmers (Equation 2).

$$Tobit (0 \leq M \leq 1) = f(F, L, P, C) \quad \dots \dots \dots (2)$$

This equation (2) explains how much the independent variables (age, education, training, household size, land ownership, size of farm, land ownership, number of cultures, the existence of benches, and proximity to the lake) influence the probability of maintenance of CTs by farmers. Thus the intensity of adoption, expressed by the rate of maintenance, 'M' is based on the characteristics sets related to farm, land, and production system (F, L, P, C).

## 3. RESULTS AND DISCUSSION

### 3.1. Analyzed variables

Tobit and Probit models were econometrically analyzed using some social economic and technical variables to apprehend farmers' perceptions (McDonald *et al.*, 1980). Table 1 relates the different analyzed variables applied at Probit and Tobit models.

**Table 1: Description of the variables**

Variable	Definition	Description
$F_{ij}$	1 if household head $i$ of household $j$ is favourable towards CTs 0 otherwise	Dummy
$M_{ij}$	the proportion of land allocated for maintained CTs (truncated between 0 and 1)	Continuous
<b>Farmer characteristics</b>		
Training	1 if the farmer got some training, 0 otherwise	Dummy
Educ	household head's years of schooling	Continuous
Age	age of household heads (years)	Continuous
<b>Land characteristics</b>		
LO	Land ownership	Dummy
Landsize	land size owned by the household head	Continuous
Nbcult	Number of cultures	Continuous
<b>Production system</b>		
Animal	Number of animals	Continuous
Olive	1 if there is an olive tree, 0 otherwise	Dummy
Cereal	1 if there are cereals, 0 otherwise	Dummy
<b>Soil and water conservation practices</b>		
Water	Number of kilometers far away from the lake	Continuous

### 3.2. Tested hypothesis

Then, we assumed the following hypotheses that we tested:

- *Hypothesis 1:* Exposure to formal education (measured in years of schooling) increases a household head's awareness about conservation strategy and erosion risks and hence education increases the probability of rate and intensity of acceptance.
- *Hypothesis 2:* Farmer who has purchased his land is more likely to adopt conservation techniques (CTs) and allocate more land under CTs to raise farm productivity rather than an heir.
- *Hypothesis 3:* Proximity to the lake increases the likelihood that a household head  $i$  of household  $j$  accepts CTs farming system.

- *Hypothesis 4:* The marginal probability that a farmer accepts CTs farming system increases with a unit increase in farmland's size.
- *Hypothesis 5:* Animal husbandry farming influences the probability of acceptance of SWC in a negative way.

### 3.3. Factors affecting the acceptance of CTs

Tables 2 and 3 offer detailed results about Probit and Tobit models (see Appendix).

To test hypotheses 1 to 5, Probit and Tobit's models were econometrically estimated for a rate of acceptance (i.e., agreement of conservation techniques, CTs) and intensity of acceptance (i.e., allocation of land for maintained CTs). The estimation coefficients inform about the marginal effects of an explanatory variable on the expected value (mean proportion) of the dependent variable *A* and changes in the rate of acceptance for a unit change of an independent variable among farmers who are favourable towards SWCT for dependent variable *M*. The LR  $\chi^2(14)$  statistic represents a test of the null hypothesis that the expected values of the regression coefficients are equal to each other and that they equal zero.

That is to say, this statistic checks if the pseudo  $R^2$  proportion of variance in the dependent variable analyzed by the predictors is zero. In the case where the null hypothesis was correct, then there is no regression relationship between the dependent variable and the predictor variables.

The results show that the predictor variables for both Probit and Tobit models are not all equal to each other and could be used to predict the dependent variable as indicated by a big LR  $\chi^2(14)$  and a small significance level ( $p < 0.000$ ). That is, LR  $\chi^2(14) = 128.62$  for Probit regression and LR  $\chi^2(14) = 210.23$  for Tobit regression. So the null hypothesis can be rejected. Hence we conclude that at least one of the independent variables is related to the dependent variables *A* and *M*.

It comes out that the factors affecting CTs acceptance are education, land size, animal husbandry, irrigation from the lake, and land tenure. These variables also have a positive and significant effect on the rate of acceptance.

#### 3.3.1. Farmers education and experiences

An increase in one year of schooling increases the probability of CTs adoption by 1.7% and increases the surface of maintained facilities of 0.023 ha. This supports the first hypothesis. Education is often argued as a variable that influences rates of adoption ([Alcon et al., 2011](#)). As well, sharing experiences between farmers would be an elemental solution to encourage farmers to adopt and implement CTs by themselves in case of deficiency or non-existence of governmental assistance. Membership of farmers within Community Based Organization (CBO) and/or farmers' cooperatives to which they belong, in addition to the intensity of farmers' interaction with extension services, are considered as proxies of farmers' participation in the learning process and knowledge related to CTs ([Dhehibi et al., 2018](#)).

It is important as well to improve market transactions to help the small farmer to trade their products. The existence of efficient capital market institutions especially devoted to smallholders, including the wide variety of micro-finance schemes, could help small farmers to overcome some of the financial constraints. Moreover, subsidies and related legal frameworks can also encourage farmers to convert to new production systems ([Karaa et al., 2008](#); [Alcon et al., 2011](#)).

#### 3.3.2. Land tenure

Furthermore, being a purchaser of land increases the probability of CTs adoption by 19%. Hence it supports hypotheses 2. The land tenure variable has also a positive and significant effect on the level of maintaining CTs. One of the obstacles to embracing conservation development is land

tenure insecurity. Land tenure rights are prone to unpredictable changes, besides land ownership title is unclear. These two reasons make the farmers not certain about the future, thus they are not sensible and concerned about soil condition and are not aware of erosion problems. These farmers think about short term profit and might be reluctant to sustainable land management. Most of the farmers still feel uncertain and ambivalent about land ownership. Thus, there is a great necessity to clarify policies about land ownership. In view of that [Lovo \(2016\)](#) says “tenure insecurity can have important consequences for the conservation of natural resources, sources of insecurity have a negative effect on soil conservation investments”.

### **3.3.3. Lake proximity**

On the other hand, the distance between the farmland and the lake had negative and significant results showing that increasing one kilometer from the lake reduced the probability of accepting CTs by 21.5%, thus supporting hypothesis 3. Correspondingly, one kilometer far away from the lake induces a decrease of 0.4 ha of land equipped with maintained CTs. Results showed that only conservation facilities that have direct utility headed for the population (water tank, irrigation, watering livestock) are more likely to have a longer lifespan. Because they would more likely to be maintained and protected against any form of degradation (theft of gabion, stones, etc.) Farmers within lake neighbouring take advantage of lake water and thus they think that this kind of conservation structure is profitable ([Baumgart \*et al.\*, 2012](#)).

This is an obvious finding especially in an arid zone where water is in short supply such in many areas in Tunisia ([Laajili-Ghezal \*et al.\*, 2019](#)).

### **3.3.4. Farmland size**

Farmland size was highly statistically significant ( $p < 0.001$ ) meaning that a one-hectare increase in farmland size increases the probability of acceptance of CTs by 24%. Moreover, one hectare increase in a useful agricultural area increases land allocation under maintained CTs (level of maintenance) by 0.05 ha, hence supporting hypothesis 4. The conservation structures occupy some part of the land and consequently, this reduces the effective cultivated area. Therefore, land space-consuming according to the small land size makes it not worthy to install the CT.

Furthermore, land fragmentation, land scarcity problem and increase distance between different plots of the same farm property make difficult to implementation of the conservation facilities in the small lands. In fact, according to farmers' statement, the reasons for decreased crop yield are the fragmentation issue due to population pressure and land inheritance from one hand, and to the reduction of soil fertility due to degradation and frequent drought on the other hand. Land fragmentation induces more time-consuming for the carriage of inputs, equipment, and yield products to move from a plot to another.

Also, land in this area has harsh topography which confines the implementation of soil and water conservation techniques. Moreover, conservation structures collected fertile soils that could be used to increase short-run production by dismantling the structures and spreading out the soil collected there. Also, soil and water conservation techniques could harbour rats that may damage the crops, and water ponds could attract mosquitos and insects. In addition [Dhehibi \*et al.\* \(2018\)](#) suggest the promotion of cooperative work strategies among farmers who lack resources to perform conservation technologies and the encouragement of information and experience exchange between farmers who have extensive experience with conservation technologies and those without experience.

### **3.3.5. Animal husbandry**

As expected, coefficients of livestock farming in acceptance and maintenance were negatively and statistically significant, thereby it supports hypothesis 4. One unit increase in animal husbandry reduces the probability of acceptance of farmers by 15% and reduces the rate of maintaining the

CTs by 0.031 ha. This could be argued by the fact that livestock damages the benches while grazing in the land. In addition, gabions and dry stone cords make it difficult for animals to access them. This explains the unfavorable perception of certain farmers-breeders towards the CTs. [Dhehibi et al. \(2018\)](#) conclude that this negative trend has significant implications for adoption. Overgrazing is of significant concern in the study area. The observations that livestock producers would appear to be less keen to adopt conservation practices are consistent with the hypothesis that conservation technologies and conventional livestock rearing practices may not necessarily be compatible”.

#### 4. CONCLUSION

Adoption rates of water and soil conservation techniques can be ascribed to many reasons such as educational, financial, and technical factors that should be considered as a priority, especially in the context of the environmentally sensitive areas of Tunisia. The Tunisian government should provide sufficient training and extension programmes to farmers. Implementing these recommendations can improve the contribution of conservation farming to household income, poverty alleviation and hence render a positive impact on the overall agricultural sector development. To increase the approval rate of the farmers towards CTs, the participatory approach is the most suitable and proper solution and should be improved and evenly spread among farmers.

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## Appendix

**Table 2: Factors affecting the rate of adoption in Conservation Techniques (CTs)**

Probit regression, reporting marginal effects      Number of obs = 134  
 LR chi<sup>2</sup>(14) = 128.62  
 Prob > chi<sup>2</sup> = 0.0000  
 Log likelihood = -11.805232      Pseudo R<sup>2</sup> = 0.8554

Variable	F	dF/dxa	Std. Err.	z	P> z	x-bar	[95% C.I.]
Landsize	0.2427	0.0125	1.7700	0.000***	26.0508	-0.0033	0.0477
Educhh	0.0171	0.0660	0.2200	0.033**	1.6405	-0.1353	0.1434
Age	0.0071	0.0100	0.6800	0.4980	47.7750	-0.0126	0.0278
Olive	0.0318	0.0862	0.3600	0.010*	3.5576	-0.1376	0.2008
Animal	-0.1500	0.0000	-0.6800	0.043**	4849.4000	-0.0001	0.0001
Nbcult	0.0121	0.0011	0.7900	0.4240	1730.8300	-0.0001	0.0003
Training	0.1026	0.3848	0.2500	0.7870	0.8000	-0.6515	0.8567
Cereals	-0.0426	0.0608	-0.7100	0.4900	7.0708	-0.1618	0.0776
Ltenure	0.1909	0.2319	1.4100	0.001***	0.4417	-0.0837	0.8275
Lakedis	-0.2152	0.0001	-2.3700	0.018**	6885.9400	-1.0005	
Obs. P	0.531658						
Pred. P	0.6163956 (at x-bar)						

(<sup>a</sup>) dF/dx is for discrete change of dummy variable from 0 to 1  
 z and P>|z| correspond to the test of the underlying coefficient being 0

**Note:** \*, \*\* and \*\*\* indicate statistical significance at 10%, 5%, and 1% levels respectively

**Table 3: Determinants of the intensity of adoption in Conservation Techniques**

Tobit regression      Number of obs = 134  
 LR chi<sup>2</sup>(14) = 210.23  
 Prob > chi<sup>2</sup> = 0.000  
 Log likelihood = 54.769      Pseudo R<sup>2</sup> = 0.834

Variable	M	Coef.	Std. Err.	t	P> t	[95% C. I.]
Landsize	0.05007	0.0086	3.9700	0.000***	0.0171	0.0512
Edu	0.02392	0.0012	3.1500	0.002**	0.0014	0.0064
Agehh	0.00016	0.0011	0.1200	0.9070	-0.0021	0.0024
Olive	0.00257	0.0026	1.0300	0.3060	-0.0024	0.0077
Animal	-0.03180	0.0059	-5.4100	0.000***	-0.0434	-0.0202
Nbcult	0.00769	0.0055	-1.4000	0.1640	-0.0185	0.0032
Training	0.00001	0.0001	1.3400	0.1820	-0.0001	0.0000
Cereal	-0.02572	0.0340	-0.7600	0.4510	-0.0931	0.0417
Ltenure	0.03023	0.0448	-0.6700	0.001***	-0.1190	0.0586
Lakedis	-0.04005	0.0000	-9.9700	0.000***	-0.0001	0.0000
Sigma	0.15112	0.00989	0.12571	0.16713		

Obs. summary: 7 left-censored observations at M<=0

104 uncensored observations

0 right-censored observations

**Note:** \*, \*\* and \*\*\* indicate statistical significance at 10%, 5%, and 1% levels respectively