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Understanding farmers' perceptions/beliefs and adaptation to climate change: The case of Rio das Contas basin, Brazil

S. De Matos Carlos; D. Antônio Da Cunha; M. Viana Pires; F. Rita Couto Santos

Universidade Federal de Viçosa, Economia Rural, Brazil

Corresponding author email: sabrinamcarlos@gmail.com

Abstract:

Based on the background of the agriculture sector vulnerability to climate change in developing countries and its risks to Brazilian Northeast farmers, the paper put forward an understanding of the factors that guide individuals to adopt adaptive strategies to cope changing environments as a fundamental issue for the direction and effective formulation of well-targeted public policies. Mediation models were estimated considering two different scenarios: (i) adoption of adaptive practices by farmers based only on the impact of climate perception, mediated by knowledge/belief in the occurrence of climate change; (ii) the socioeconomic conditions of the farmers and their properties were additionally included. The main results demonstrated that the Rio das Contas basin farmers' perceptions about the negative effects of climate change, despite being the main driver, will only affect adaptation behavior when the farmers have knowledge and believe in the occurrence of climate change. Socioeconomic conditions are overshadowed in predictive power of adaptation by knowledge/belief about climate change. This outcome may be directly related to the farmers' cultural aspects, nevertheless, the importance of technical assistance or rural extension services should be also emphasized as an expressive part of farmers is no longer adapted due to lack of knowledge of suitable techniques.

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21

22 **Keywords:** Climate Change; Agriculture; Perception; Belief-knowledge; Adaptation;
23 Socioeconomic Conditions.

24

25

26 **1. Introduction**

27 Global climate change is one of the major challenges faced by societies around the
28 globe in the 21st century (Food and Agriculture Organization of the United Nations – FAO,
29 2016). Discussions on climate change and its potential impacts become central for ongoing
30 efforts to achieve sustainable development taking into account the future climate scenarios
31 predicted by the Intergovernmental Panel on Climate Change (IPCC, 2013). However, the
32 magnitude of impacts is not the same in different regional contexts and economic sectors. For
33 example, the agricultural sector is highly dependent on natural resources such as temperature
34 and access to water, and it is especially vulnerable to climate change with local economic
35 risks to farmers (Nelson et al., 2014). Therefore, identifying technologies and adaptive
36 strategies are of pivotal importance to avoid agriculture losses, and thus reduce agriculture’s
37 vulnerability to climate change (Cunha et al., 2015).

38 Adaptation in the perspective of human scopes of global change commonly refers to
39 an action, a process or an outcome in a system (e.g., a household, community, region, or
40 country) in order for the system to better cope with, manage or adjust to certain changing
41 condition (Smit and Wandel, 2006). Furthermore, according to IPCC (2014), adaptation can
42 be defined as the process of adjusting to the current or expected climate either to reduce
43 vulnerability or to enhance resilience. On the other hand, according to Below and co-workers
44 (2012), the adaptive process is the necessary adjustment in human-environmental systems to
45 reduce the vulnerability of a system in response to expected or observed changes in climate
46 patterns. All these concepts are closely related with the fact that adaptation to climate change
47 requires, at first, that farmers perceive and believe that the climate has changed, and then that
48 it is necessary to identify potential adaptive measures and implement them (Maddison, 2006).

49 Compelling evidence has recently demonstrated that people perceive and adapt to
50 climate change events based on personal observations and cultural factors (Blennow et al.,

51 2012; Blennow and Persson, 2009; Bursztyn and Eiró, 2015; Gebrehiwot and van der Veen,
52 2013; Hagen, 2016; Howe et al., 2012; Leiserowitz et al., 2012; Persson et al., 2015).
53 However, the perception of individuals in terms of climate change is dynamic and differs
54 between nations or even between different regions of the same country (Capstick et al., 2015).
55 Therefore, a sound understanding of the factors that guide individuals to adopt adaptive
56 strategies to cope with changing environments is a fundamental issue for the direction and
57 effective formulation of well-targeted public policies (Blennow et al., 2012).

58 The agriculture sector in developing countries such as Brazil is especially vulnerable
59 to climate change (Nelson et al., 2014). Indeed, the Brazilian economy is highly dependent on
60 its agribusiness gross domestic product (Centro de Estudos Avançados em Economia
61 Aplicada – CEPEA, 2015). In addition, developing countries are located predominantly at low
62 latitudes, in areas where temperature rates are already near or above the optimal levels for
63 agricultural practices (Cunha et al., 2015). More specifically, smallholder farmers are likely to
64 be highly vulnerable to climate changes because of barriers related to access to funding,
65 productive infrastructure, and technical assistance (Altieri and Koohafkan, 2008; Cunha et al.,
66 2013; Morton, 2007). These facts highlight the need to account for adaptation initiatives in
67 developing regions, and thus preserve the resilience of social-ecological systems like
68 smallholder farming.

69 Here we demonstrated how climate change perceptions and local climate change
70 knowledge and beliefs influence the adoption of adaptation practices among farmers of Rio
71 das Contas basin, Brazilian Northeast region. Furthermore, as risk perceptions and their
72 correlated adaptations are socially constructed, they lead personal factors, such as knowledge
73 and socioeconomic conditions, to strongly correlate with responses to climate change.

74 The analysis of these issues at the regional Brazilian context is very important for at
75 least three reasons. The first one relates to the fact that scientific knowledge about climate

76 change is built and shaped based on the occurrence of events that can be addressed to climate
77 change, available weather information and potential impacts on society and the environment.
78 However, understanding, perception and belief about climate change are based not only on
79 concrete facts (events that have already occurred) or economic facts, but also essentially on
80 psychological conditions and cognitive abilities, that is, the construction of understanding,
81 perception and belief by the general public is something broader and more variable. In this
82 way, it is extremely relevant to study and know how perception/belief are delineated and how
83 they can favor positive behavior in the sense of adapting to climate change (Arbuckle et al.,
84 2015). The second reason one is that few researches have examined farmers' perceptions of
85 climate change and how this knowledge/belief affects their willingness to adapt.
86 Consequently, adaptation behavior at the farm level is less studied. Finally, the Rio das
87 Contas basin region is heavily dependent on agriculture and has high exposure to adverse
88 climatic conditions. According to the climate information presented by Blunden et al. (2017),
89 the region can be included among the most vulnerable to climate change in Brazil due to the
90 exposure of their population to severe drought and high temperatures.

91 The adoption of a river basin as a study area provides representative local-level fields
92 for adaptation to environmental changes, since agricultural systems are exposed to and
93 directly dependent on the climate (Smit and Wandel, 2006); it also enables a human-level
94 investigation of farmers as members of such system. A local-level analysis enables to provide
95 a better understanding of the major processes underlying adaptation and a better targeting of
96 adaptation policies (Ampaire et al., 2017; Boko et al., 2007; Smit and Wandel, 2006). The
97 combined data are discussed in the context of current models of concerns and beliefs that
98 motivate farmers to adapt to climate change, as well as the risks involved.

99

100

101 **2. Methodology**

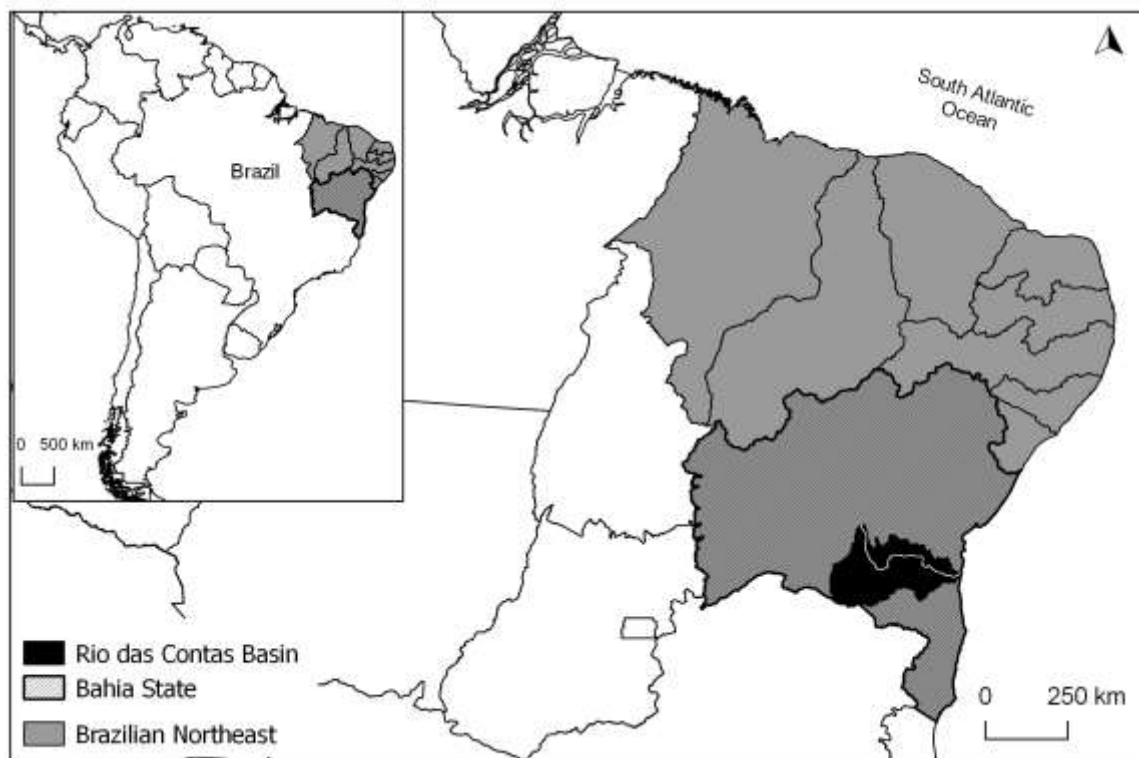
102 *2.1. Study Area*

103 The impacts of climate changes tend to be quite severe in the Brazilian Northeast
104 region, since higher temperature increases and large variations in rainfall indices are expected
105 there, such as a decrease in rainfall patterns and an increase in consecutive dry days (Marengo
106 et al., 2017b). Historically, water stress is the major environmental constraint to smallholder
107 farming in this region, which is extremely reliant on rain-fed practices. According to Marengo
108 et al. (2017b), the predictions of climate change could greatly jeopardize the Northeastern
109 agricultural aptitude. Such agricultural losses may result in several problems, mainly
110 increased rural poverty. Therefore, policy makers will face a major challenge over the coming
111 years.

112 Our study focuses on the Rio das Contas basin (12°55'/15°10' S; 39°00'/42°35' W), a
113 territory of approximately 55,000 km² in Bahia state, located on the Brazilian Northeast (Fig.
114 1). Overall, the basin extends east-west for about 700 km in 92 municipalities, with a total
115 unevenness of 615 m, comprising three different biomes: (i) Cerrado (Brazilian savanna); (ii)
116 Caatinga (a xeric shrubland and thorn forest); and (iii) Tropical Atlantic Rainforest (Barbosa
117 and Dominguez, 1996; Paula et al., 2010). The region presents high seasonal and spatial
118 climatic variability, mainly due to the merge of characteristics of two climatic sub-regions –
119 the semi-arid and the tropical rainforest climates (Machado et al., 2011) – with a long-term
120 average of annual precipitation of about 800 mm (Climate Research Unit – CRU, 2013).

121 The Rio das Contas basin is a predominantly agricultural region, and presents small to
122 medium-sized cities and low industrialization rates, which makes it potentially vulnerable to
123 the adverse effects of climate change (Paula et al., 2010). Despite its high absolute population
124 of more than two millions inhabitants, the Human Development Index (HDI) average is only
125 0.585, a value that falls under the category of “medium human development” according to the

126 United Nations Development Program (UNDP, 2015). In addition, the basin has
127 approximately 13% of its municipalities in the “low human development” range, whereas no
128 municipalities are found in the “high” or “very high” development categories (UNDP, 2015).
129 Altogether, this data reinforce the need of research and development initiatives involving the
130 social actors that reside in this region and use its natural resources.



131
132 **Fig 1.** Location of Rio das Contas basin, Bahia state, Brazilian Northeast.

133
134 *2.2. Primary Data Collection*

135 We designed a semi-structured questionnaire that contained questions about the
136 farmers’ socioeconomic information, their perceptions/knowledge/beliefs/concerns about
137 climate change and views of climate risks, and the adaptive measures implemented. The
138 interviews were carried out in the summer of 2014.

139 The sample design was created in order to obtain random distribution of agricultural
140 properties through the wide variety of climatic zones within the Rio das Contas basin region.

141 For this, we followed the methodology of (Hartter, 2009) and created a buffer zone that
142 comprised municipalities located, at most, at 50 km of the full extent of Rio das Contas. The
143 buffer zone covered the study area in the East-West direction and, through it, the geographical
144 coordinates of the properties considered in the study were randomly selected. Thus, among
145 the 145,647 agricultural establishments in the Rio das Contas basin (Instituto Brasileiro de
146 Geografia e Estatística – IBGE, 2006), we selected 289 by simple random sampling with 95%
147 of confidence.

148 The number of questionnaires applied in each municipality was proportional to the
149 total number of farmers in the municipality reported by the Brazilian Agricultural Census
150 (IBGE, 2006). Moreover, the number of farmers interviewed in each municipality was
151 proportional to the number of owners and non-owners (partners, sharecroppers, tenants,
152 squatters, etc.).

153

154 *2.3. Statistical Analysis: Mediation Model*

155 In this research, we hypothesized that the experience of extreme weather conditions
156 and past perceptions of temperature and precipitation change may affect the farmers’
157 adaptation behavior through their knowledge/belief about climate change, which act as the
158 mediating variable. Therefore, to conduct the research we estimate a Mediation Model.

159 Mediation analysis are statistical methods used to respond how an independent
160 variable, X (*climate change perception*), transmits its effect on a dependent variable, Y
161 (*adaptation*), and this effect is “mediated” by another variable M (*climate change*
162 *knowledge/belief*) (Hayes, 2013). According to Mackinnon et al. (2007), the “mediator is a
163 variable that is in a causal sequence between two variables, whereas a moderator is not part of
164 a causal sequence between the two variables”. In other words, the variable M is intermediate

165 in the causal trajectory of X and Y and represents an asymmetrical relationship between such
 166 variables.

167 We estimated binary mediation models according to the methodology described in
 168 Hosmer and Lemeshow (2000). Thus, the binary-mediation analysis was conducted using a
 169 logit regression. The empirical model can be represented by the following equations:

$$170 \quad P_i = E(Y = 1 | X_i) = i_1 + cX + e_1 \quad (1)$$

$$171 \quad P_i = E(Y = 1 | X_i) = i_2 + c'X + bM + e_2 \quad (2)$$

$$172 \quad M = i_3 + aX + e_3 \quad (3)$$

173 where P_i is the probability that a farmer i implements an adaptation strategy ($Y = 1$ if the
 174 farmer adopted an adaptive strategy); c represents the relationship between the independent
 175 (X) and dependent variable (Y); c' is the parameter that relates the independent to the
 176 dependent variable adjusted by the mediator variable effect; b is the parameter that relates the
 177 mediator to the dependent variable adjusted by the independent variable effect; a is a
 178 parameter that measures the relation between the independent variable and the mediator
 179 variable; e_1 , e_2 and e_3 represent the errors or variabilities not explained by the models and the
 180 intercepts are represented by i_1 , i_2 and i_3 .

181 The variables X and M were represented, respectively, by the Climate Change
 182 Perception (CCPI) and Climate Change Knowledge/Belief (CCKI) indexes. The formula used
 183 for calculating the indexes was based in the methodological proposition of Filmer and
 184 Pritchett (2001):

$$185 \quad I = \sum_k \left[f_k \frac{(a_{ki} - \bar{a}_k)}{s_k} \right] \quad (4)$$

186 where I represents the index to be created, that is, $I = CCPI$ if the index is related to climate
 187 change perception and $I = CCKI$ if indicates climate change knowledge/belief; the observed
 188 value of the variable k for the farmer i is represented by a_{ki} ; \bar{a}_k indicates the average value,

189 and s_k the standard deviation of the variable k . The value f_k is the weight given to each
190 variable in the index, which was achieved using the multivariate principal component
191 analysis. The variables used in each index, as well as their respective weights, are presented in
192 Table 1.

193 Two different scenarios were considered. In the first one, the adoption of adaptive
194 practices was studied based only on the impact of climate perception, mediated by
195 knowledge/belief in the occurrence of climate change. In the second one, in addition to these
196 questions, the socioeconomic conditions of the farmers and their properties were included
197 according to the literature (Below et al., 2012; Cunha et al., 2015). Finally, to test the
198 significance of the estimated effects, we used a bootstrapping method. This methodology
199 allows more robust standard error estimates, confidence intervals, as well result stability
200 verification.

201

202

203 **Table 1.** Description of the variables and weights – achieved by multivariate principal
 204 component analysis – used in the construction of Climate Change Perception and Climate
 205 Change Knowledge/Belief indexes.

Indexes	Questions/ Variables	Answer Options	Weights
Climate Change Perception Index	1. Have you ever noticed/perceived any extreme weather conditions?	I do not know how to answer = 0 No, not at all = 1 No, maybe not = 2 Yes, maybe = 3 Yes, definitely = 4	0.51
	2. What is your opinion about this year's winter temperatures?	I did not notice any changes = 0 Cooler than usual = 1 Warmer than usual = 2	0.48
	3. What is your opinion about this year's winter rains?	I did not notice any changes = 0 It rained more than usual = 1 It rained less than usual = 2	-0.06
	4. What is your opinion about last summer's temperatures?	I did not notice any changes = 0 Cooler than usual = 1 Warmer than usual = 2	0.45
	5. What is your opinion about last summer's rains?	I did not notice any changes = 0 It rained more than usual = 1 It rained less than usual = 2	0.24
Climate Change Knowledge/Belief Index	1. Have you heard or discussed about climate change and its impacts on agriculture?	Yes = 1 No = 0	0.56
	2. Do you believe that the climate is changing to such an extent that it will substantially affect your agricultural production and/or livestock?	I do not know how to answer = 0 No, not at all = 1 No, maybe not = 2 Yes, maybe = 3 Yes, definitely = 4	0.24
	3. How do you assess your degree of knowledge about climate change?	I do not know anything = 0 I know a little = 1 I know incompletely = 2 I know comprehensively = 3	0.54

206

207 3. Results

208 3.1. Socioeconomic descriptive analysis

209 According to the household survey applied concerning the socioeconomic conditions
 210 of the farmers of Rio das Contas basin, they are characterized predominantly by married
 211 male-headed households of about 51-year-old average age and low educational level, with
 212 27% of them who achieved at most incomplete elementary school and 25% of them illiterate
 213 or only know how to read and write.

214 Despite the low instructive level, the majority (85%) of them has a vast farming
215 experience of about more than 10 years. Descriptions of the farm characteristics pointed that
216 the average size of their rural household land is approximately 34 ha, with preponderance
217 (39%) of properties with less than five hectares. This fact suggests a farming system formed
218 basically by ‘subsistence agriculture’, in which beans and maize are the most important
219 agriculture activities practiced by 36 and 29% of the farmers, respectively. Moreover,
220 livestock-based production is also highlighted, being experienced by 72% of the farmers,
221 headed by poultry (50%) and cattle (41%) activities. Each headed household shows in average
222 five dependents in the farm household, and a significant part (60%) of the sample has almost
223 the total (75-100%) income dependence on agricultural activities of the farmland.

224 Regarding the legality condition of the land ownership, most (86%) of the farmers are
225 private landowners. Although the proof of legal relationship of the farmers with the land is a
226 highly relevant aspect to get access to credit lines for agriculture, and consequently contribute
227 to adaptation activities to climate change (Motta, 2011), almost 73% of the farmers do not
228 have access to rural credit facilities. Excessive bureaucracy, lack of knowledge concerning the
229 grant, or even uncertainty to incur financing systems were the main factors mentioned for not
230 getting credit. This situation points that only the land ownership is not a decisive factor in
231 obtaining rural credit among the farmers of Rio das Contas basin.

232 Besides the educational level, information about access to means of mass
233 communication, to technical assistance and to membership in cooperatives and professional
234 associations is needed to better understand the farmers’ degree of access to information and,
235 how it should reduce the vulnerability to extreme weather events and increase their chances of
236 facing adaptation to such events. The majority (70%) of the farmers of Rio das Contas basin
237 did not receive technical assistance, mainly because the technicians were not available (37%)
238 or they did not know who to hire (15% – data not shown). Among those who actually got

239 technical assistance, 51% had it only if necessary, being the Bahia Agricultural Development
240 Agency (EBDA) and town halls pointed as responsible for 66% and 28% of the support,
241 respectively. Nevertheless, around 63% of the farmers participated on rural union councils or
242 class associations. Television (81%), mobile phone (64%) and radio (57%) were pointed as
243 the main means of access to information. However, the internet, such an important source of
244 access to information, was not widespread among Rio das Contas basin' farmers yet (9%).

245

246 *3.2. Climate Change Perception and Climate Change Knowledge/Belief Index*

247 The multivariate principal components analysis used to compose the climate change
248 perception index (CCPI) showed an average value of 0.73 indicating an upper-middle
249 perception by farmers that the temperature and precipitation are changing in Rio das Contas
250 basin. Higher CCPI weights were detected in variables related to general perceptions of
251 extreme climate events and specific perceptions of high summer/winter temperatures (Table
252 1). The main extreme event reported by farmers was the drought. Most of the farmers have an
253 opinion that the droughts are happening unpredictably and the heat is increasing
254 progressively. Meteorological data provided by Marengo et al. (2017a) indicate factual
255 evidence to support farmers' perceptions of increasing temperature and droughts. In addition,
256 the study of Blunden et al. (2017) indicated that the Brazilian Northeast has been facing its
257 worst drought in 100 years since 2012.

258 The CCKI, created to access the knowledge/belief of farmers in climate change,
259 overweight what farmers heard about climate change and its impact on agriculture (0.56) and
260 the degree of knowledge/belief they have about this subject (0.54), more than if they think
261 that the climate is changing so as to harm their agricultural production (0.24 – Table 1). This
262 way, a medium-low knowledge/belief about climate change was detected by an average CCKI
263 value of 0.60.

264 *3.3. Adaptation to Climate Change*

265 The main adaptive strategies used by farmers of the Rio das Contas basin who had
266 adapted to climate changes were respectively: increased irrigation use; environmental
267 conservation; change in harvesting dates; rotation and diversification of crops; and change in
268 planting dates.

269 We have identified that there is a significant positive relationship between farmers
270 who altered the management of their adapted properties and their belief in harmful effects of
271 climate change ($\chi^2 = 10.35$, $p < 0.016$). A great number of them (89%) stated their beliefs that
272 climate change would affect negatively the financial situation of their property. At the same
273 time, 94% of farmers that believed climate change would affect negatively their properties
274 had previously adapted (Table 2).

275 Furthermore, an analysis uncoupling the calculated indexes between farmers' behavior
276 of adapting or not pointed that CCPI mean values are significantly higher when adaptive
277 strategies are adopted than when these strategies are avoided (0.79 and 0.69 respectively; $t = -$
278 2.92, $p < 0.01$); as well as for CCKI (0.69 and 0.51 respectively; $t = -8.01$, $p < 0.01$).

279 These results show, according to Blennow and Persson (2009), that the understanding
280 of the adaptation process to climate change at the local level needs to take the “factor strength
281 of belief in climate change” into consideration. Moreover, Eisenack and Stecker (2010) point
282 out that access to reliable climate information and the climate change perception are
283 determining factors for the adoption of adaptation strategies. According to the authors,
284 individuals seek to protect themselves when they are warned of possible damages caused by
285 climate change. These are early indications that confirm how important the knowledge/belief
286 about climate change in farmers' decision-making is.

287

288 **Table 2.** Frequency of the farmers who had and had not adapted the farm management by the
 289 belief that climate change should affect the financial situation of their property.

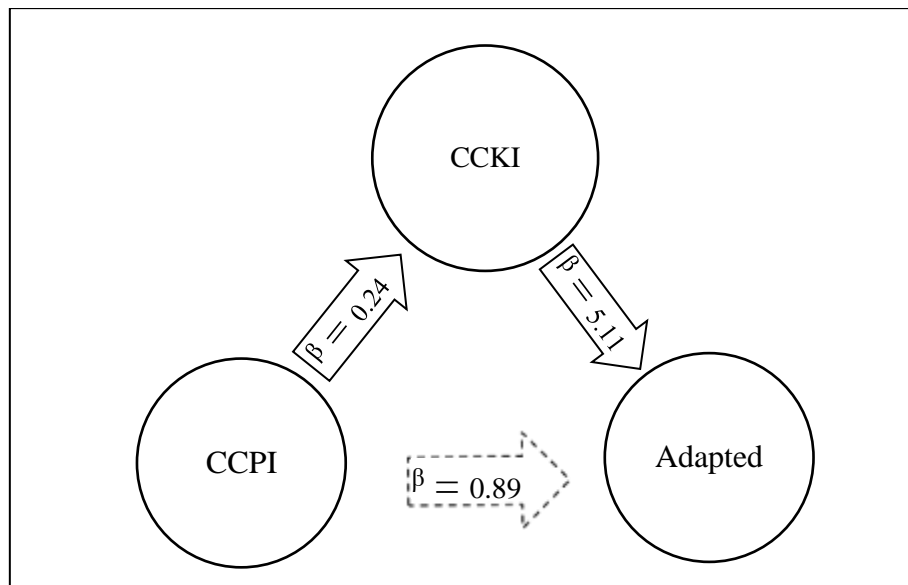
290 291 <i>Adapted</i>	How do you believe climate change will affect the financial conditions of your farm?			
	Not at all (%) n = 18	Positively (%) n = 11	Do not know (%) n = 4	Negatively (%) n = 256
292 No (n = 140)	9.29	5.00	2.86	82.86
Yes (n = 149)	3.56	2.68	0.00	93.96
293 Total (n = 289)	6.23	3.81	1.38	88.58

294

295 3.4. Mediation Models

296 Based on the binary-mediation model, we found that the famers' perception and their
 297 knowledge/belief in the occurrence of climate change will affect their adaptation behavior (p
 298 < 0.001). The binary mediation analysis indicated a complete mediation effect occurring once
 299 CCPI (independent variable) had a positive significant indirect effect on the adopted
 300 agricultural adaptation behavior (dependent variable), which was mediated through CCKI
 301 (mediating variable). Thus, the proportion of the total effect mediated was 58.87%. In
 302 contrast, the direct effect of CCPI was not significant (Fig. 2).

303 It is important to note that our result indicates that the relationship between perception
 304 and adaptation is most likely to occur when the farmer knows and believes in climate change.
 305 Such result is in agreement with the literature. According to the hypothesis of Blennow and
 306 Persson (2009), "the strength of belief in an adaptive capacity was shown to be crucial for
 307 adaptation among individuals who are exposed to and sensitive to climate change".



308

309 **Fig 2.** Binary-mediation model examining the direct and indirect effects of the climate change
 310 perception index (CCPI) on farmers' adoption of adaptation behavior with Climate
 311 Change Knowledge/Belief Index (CCKI) as mediating variable. β 's coefficient
 312 indicated the strength of the relationship between variables. Solid and broken arrows
 313 represent respectively significant and not significant effects between variables based
 314 on bootstrap analysis (P-value < 0.01).

315

316 After testing for the isolated effects of CCPI, we analyzed the effect of socioeconomic
 317 variables on the adoption of adaptation strategies (Table 3). As in the previous analysis, a
 318 positive significant indirect effect of a complete mediation prevailed, and the CCKI explained
 319 49.78% of the relation between the variables CCPI and Adaptation. Among the
 320 socioeconomic variables, only age, educational level, income dependence on agricultural
 321 activities, and technical assistance had a positive and significant effect correlated to the
 322 decision to adapt (P-value < 0.10 – Table 3).

323

324 **Table 3.** The Binary-mediation models estimation considering both effects of Climate Change
 325 Indexes and socioeconomic variables on adaptation strategies adoption by farmers of Rio das
 326 Contas Basin Region.

Variables	β 's Coefficient	P-value
Independent variable		
Climate Change Perception Index (CCPI)	1.2251	0.11
Mediating variable		
Climate Change Knowledge/Belief Index (CCKI)	4.3836	0.00
Independent socioeconomic variables		
Age	0.0245	0.01
Educational Level	0.2805	0.00
Number of dependents in the farm household	0.0387	0.26
Income dependence on agricultural activities	0.0070	0.07
Rural Credit	0.3234	0.31
Technical assistance	0.8721	0.00
Constant	-6.6171	0.00

327

328 4. Discussion

329 The understanding of which factors motivate farmers to adapt to climate change in
 330 areas of vulnerability to agriculture in developing countries – where agricultural practices are
 331 more dependent on natural resources – is urgently necessary to better delineate adaptation
 332 public policies. The main results of this research demonstrated that the Rio das Contas basin
 333 farmers' perceptions about the negative effects of climate change only affect farmers'
 334 agricultural adaptation behavior in an indirect way, so this relation is mediated through
 335 climate change knowledge/belief. In other words, perceptions will influence adaptation
 336 practices only when the farmers have knowledge and believe in the occurrence of climate
 337 change. That is why access to reliable climate information is so important.

338 By including socioeconomic variables, the mediation modeling confirms that the
 339 knowledge/beliefs in the negative climate impacts on agriculture are the main driver to adopt
 340 adaptation strategies while socioeconomic variables are in the background, but also

341 noteworthy. The possibility of future losses in plantations motivates producers to have an
342 attitude; therefore, this is not an easy task since it requires great cognitive skills (Haden et al.,
343 2012). The low magnitude of the effect produced by the “*income dependence on agricultural*
344 *activities*” variable should be evidencing these symbolic, psychological and cultural values
345 represented by the desire to remain on the land or place of origin, which has an important role
346 in the farmers’ decision-making. In addition, the agricultural activity is not only perceived by
347 farmers as an income source, but also as something intrinsically linked to their culture and
348 way of life. Thus, if the negative impact on agriculture actually occurs, it will be extremely
349 harmful financially, as well as psychologically and culturally.

350 As for the techniques adopted, the Rio das Contas basin farmers have been more
351 interested in adopting practices that provide faster benefits (such as the use of irrigation and
352 changes in harvest dates). Although farmers have been adopting these techniques, around
353 50% of them stated that they do not know how to adjust their agricultural practices to avoid
354 negative effects of climate change. This is a critical aspect and it may be reflecting the low
355 access the farmers have to technical assistance that this research detected. The lack of
356 knowledge about adaptation strategies leads farmers to choose not to adapt to climate change,
357 even knowing their harmful effects.

358 An extensive literature demonstrates that technical assistance increases the farmers’
359 knowledge level, and consequently, influences the adoption of adaptation strategies (Below et
360 al., 2012; Blennow and Persson, 2009; Cunha et al., 2015; Pires et al., 2014). However, the
361 differential of this research lies on the fact that we were able to identify, by mediation
362 modeling, that after knowledge/belief and perception, technical assistance is the third drive
363 that exert a more positively effect on adaptation adoption strategies.

364 There are several ways of adapting to climate change. The level and form of
365 adaptation varies mainly in terms of knowledge of practices (Schuchardt et al., 2008), which

366 can be enhanced by technical assistance. Nevertheless, knowledge about the meaning and
367 forms of adaptation is still very incomplete. Belief in the occurrence of climate change and
368 the knowledge of adaptive practices are crucial for the response to these events to be efficient
369 and effective (Mitchell and Tanner, 2006). Having such knowledge, it is possible to reduce
370 risks associated with climate change and increase the chances of minimizing negative impacts
371 on agribusinesses.

372

373 **5. Conclusion**

374 Our analysis represents an attempt to develop a comprehensive understanding of the
375 influence of climate change perceptions, knowledge/beliefs and farm-level socioeconomic
376 features on the willingness to adapt of Brazilian Northeastern farmers. As a starting point, we
377 considered the fact that we already have a great knowledge about the effects of climate
378 change on Brazilian agriculture, but little is known about adaptation at a regional level.
379 Therefore, the research findings demonstrate the importance of investigative perception of
380 and adaptation to climate change at a local level.

381 We conclude that the knowledge/belief in climate change and its adverse effects on
382 agricultural activity are the main drivers of adaptation in the Rio das Contas basin.
383 Socioeconomic conditions were overshadowed in predictive power of adaptation by
384 knowledge/belief about climate change. This result may be directly related to the farmers'
385 cultural aspects; their decisions are also based on the desire to remain cultivating the land.
386 Nevertheless, it is important to emphasize the importance of technical assistance or rural
387 extension services; an expressive part of farmers is no longer adapted due to lack of
388 knowledge of suitable techniques.

389 Our results lead to an important reflection in terms of public policies. Initially, it is
390 necessary to consider actions that facilitate farmers' understanding of the serious

391 consequences of climatic phenomena directly observable that affect their activities in the
392 short-term, such as droughts. At the same time, the provision of technical assistance and rural
393 extension services should be increased to contribute to the farmers' adaptation process. It is
394 important that these policies recognize the heterogeneity of farmers' adaptive capacity and
395 their relationship to agricultural activity. In this way, the farmers' traditional knowledge must
396 be valued, allowing the process of altering productive practices to be constructed along with
397 public agricultural development institutions. Such actions have greater potential for positive
398 effects than just trying to reinforce farmers' belief in the long-term changes/effects of climate
399 change.

400

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