



The World's Largest Open Access Agricultural & Applied Economics Digital Library

This document is discoverable and free to researchers across the globe due to the work of AgEcon Search.

Help ensure our sustainability.

Give to AgEcon Search

AgEcon Search

<http://ageconsearch.umn.edu>

aesearch@umn.edu

*Papers downloaded from **AgEcon Search** may be used for non-commercial purposes and personal study only. No other use, including posting to another Internet site, is permitted without permission from the copyright owner (not AgEcon Search), or as allowed under the provisions of Fair Use, U.S. Copyright Act, Title 17 U.S.C.*

No endorsement of AgEcon Search or its fundraising activities by the author(s) of the following work or their employer(s) is intended or implied.

REORIENTATION OF RESEARCH FOR SMALL SCALE FARMERS: REQUIREMENTS

Allan Low

Paper presented at the 32nd Annual Conference of Pretoria, 19-20 September 1994

1. Introduction

The new agricultural policy is directed at providing support for the neglected small scale farmer and promoting household food security. Research and extension will be reoriented to serve the 95% of farmers who are poor, black, mostly part time and often farming small areas of land. To this end the government has said it intends to move away from the conventional technology transfer model to a participatory model of technology generation and dissemination. The new approach will require research programmes to be set in consultation with farmers and research institutes as has been the case in the past.

The purpose of this paper is to contribute to the discussion on how this change might best be effected and in particular to attempt to highlight some of the key issues that will need to be addressed if a sustainable agricultural reorientation of research and extension to the needs of small scale farmers is to take place in Southern Africa.

The first part of the paper discusses the nature of the changes that are required and briefly reviews the approaches that have been adopted elsewhere to effect a similar shift in research and extension orientation.

The next part of the paper examines the existing research and extension systems in the region and how small farmer orientation has been introduced. It attempts to highlight the successes and pitfalls of approaches adopted by neighbouring countries. The final part attempts to identify some of the key issues that need to be addressed to determine the success of the changes in South Africa.

2. Approaches to Research and Extension for Small Scale Farmers

Deficiencies in the reductionist "transfer of technology" approach

Reductionist research, where discipline specific research is based in specialist research institutes and focuses on specific aspects of crop or livestock production, has been the backbone of technological advancement in agriculture and this will need to continue. However, technological advances achieved through reductionist research have not served the needs of small scale poor farmers well in South Africa or other developing countries.

For the modern large scale farm sector, the transfer of information on farmer production practices to specialist researchers and of research findings to farmers has taken place directly or through extension staff and agricultural supply companies. The

(a) Training and Visit Extension

The key aspects of the T&V system are: a small number of extension staff to deliver only technical advice (not inputs or credit); a clear division of responsibilities, notably between 'subject matter specialists' (SMSs) responsible for developing and delivering technical messages and 'village extension workers' (VEWs) responsible for communicating with farmers; a strict fortnightly schedule of visits to identified 'contact farmers' and meetings with the SMSs; a well defined link between research and extension via the SMS (Benor & Baxter 1990).

(b) On-farm Client Oriented Research

The key aspects of OFCOR are: a focus on homogenous target zones on the basis of farmers' impressions of the potential for improvement; a detailed description and understanding of farm problems in order to group farmers into 'recommendation domains', to understand the constraints behind farmers' current practices and to identify areas amenable to solution through technical change; identification of factors for experimentation; a process of assessment of the causes of production problems; conducting experiments on farmers' farms; and assessments based on a whole farm perspective and on the experiences of assessments of cooperating farmers.

Typically T&V replaced existing extension services and the changes were introduced nationally at the provincial level. OFCOR was often introduced alongside the establishment of new 'adaptive research' teams or farm research teams to complement existing research based disciplinary or commodity research.

3. Lessons from experiences

This section describes some of the lessons learned from introducing T&V and OFCOR in other countries. The objective is to highlight the practical difficulties involved with the implementation of theoretical T&V and OFCOR models. Hopefully this will contribute to a clearer understanding of the complexity of the transformation process and some insights into what is involved in the implementation of these approaches.

Organisation of research and extension

The introduction of T&V and OFCOR in the Copperbelt Province, Zambia provides an idea of how the implementation of these approaches changed the old method of extension and delivery process.

Before ARPT, the development of extension messages had followed very much the same command, as indicated by the black arrows in Figure 1. Messages were developed by research scientists and then sanctioned by research and extension headquarters. Production guidelines for high yields were developed for separate agroecological zones. Field staff were then responsible for service on an *ad hoc* basis, or at district level in response to policy directions, production drives or in combatting pest outbreaks and other emergencies passed down from headquarters.

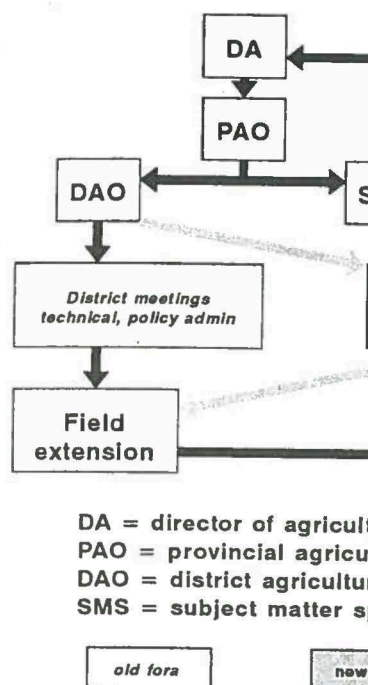


Figure 1: Zambia research and extension

Technology transfer

Moving from identified research topics to extension messages has also not been as expected with OFCOR and T&V models. A general analysis of the outcome of on-farm research in maize as well as cotton, sorghum, beans and in Swaziland, Zambia and Zimbabwe gave the results presented in Figure 2. This analysis shows that only a third of the original research themes formed the basis of identified opportunities resulted in technology adopted. Most of these were adopted only partially by a limited number of farmers (less than 100 farmers) representing a proportion of the farmers covered by the research).

In many cases losses before recommendations produced are due to poor planning and implementation of OFCOR programmes. Deficiencies have included the following:

- Superficial diagnosis of problems and solutions. Often this was based on a few days of surveying in the field, resulting from a sense of urgency to get to the trial stage. This led to some inappropriate trials, such as stalk borer to alleviate cobrot, which was not a major problem in most years. Inadequate attention has been paid to agronomic aspects of diagnosis, identifying which farmers have a given problem and to targeting of solutions.
- Poor implementation of trials. Many programmes suffer from inadequate attention to selection of farmers and field sites and inadequate field assistants, and lack of supervision of experiments. This has often been caused by a tendency to overextend the numbers

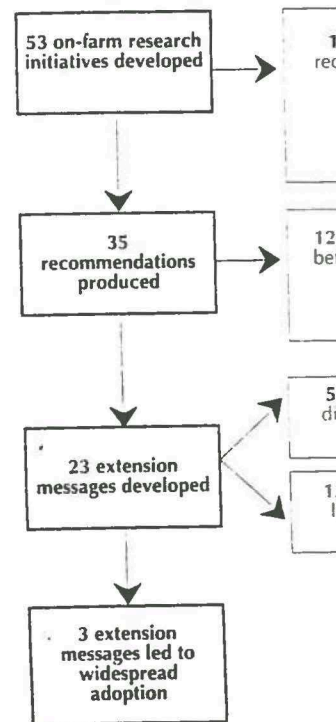


Figure 2: Analysis of the progression and Zimbabwe

However even where OFCOR has implemented and research recommendations resulted, translation of these recommendations into extension messages that are widely adopted has not been straightforward. Difficulties have arisen from the type of recommendations coming from the type of work, which differ from the "husbandry" packages that extension workers are familiar with.

Some of the outputs generated by OFCOR are better adapted varieties (shorter season varieties for planting) or recommendations adjusted to agro-ecological locations pose no real challenge to the traditional extension model.

But many of the outputs generated by OFCOR are recommendations that are either:

- sub-optimal, with input levels and management less intensive than those that would give good returns at high yield levels
- conditional on natural, economic and social circumstances

Sub-optimal recommendations take account of farmers being unable to manage all factors of production at optimum levels, because of resource constraints or priority conflicts. In these cases recommendations are not concerned with "the best way" to produce but with reducing management conflicts or resource use within management or resource use constraints. For example, a recommendation involving delayed application of fertilizer

whether fertilizer was applied or not. On-farm research results indicated the superiority of open pollinated improved variety over hybrids when fertilizer was applied (Waterworth & Muwambi, 1991). However extension messages concerning maize and fertilizer rates only related to hybrid maize and recommended 60 Kg N ha⁻¹. The option of no fertilizer and non-hybrids was not included.

These examples illustrate the problem that extension staff had with handling management based research findings that do not conform to the "technical" ideals. Acceptance of the use of sub-optimal and conditional recommendations may conflict with the technical training and experience of most extension and agricultural development officers and requires new skills in making conditional judgements about what input management practices are appropriate for farmers.

This training element was missing in many extension programmes where the emphasis was on the management process rather than message content. In some instances the message delivery became too rigid. For example in Swaziland standard messages were bundled up and delivered in an exact order and regardless of modifications made by farmers to suit local rainfall patterns or labour constraints. Extension workers sometimes found themselves telling farmers who had not yet planted that it was time to topdress with nitrogen (Low, Seubert & Wanjau, 1991).

4. Making the change work: implementation

There are two distinct but related issues that need to be successfully addressed if the concept of changing from a 'top down' to a 'bottom up' system of technology generation and dissemination is to be turned into reality and produce effective results. The first issue relates to the practice of farmer oriented research and extension and how to ensure that it is done well and effectively. The second relates to linkages: many sets of actors need to be involved and the flow of information between them needs to be regular, relevant and understood.

The practice of farmer oriented research and extension

The change from a technology based to a farmer oriented based orientation involves a change in perspective, outlook and attitude as well as the acquisition of new skills. As the experience has shown in neighbouring countries, these changes do not come about easily.

The small farmer oriented approach involves a fundamental change from the technically oriented research and extension philosophy. It is important to realise that those who have been involved with the successful (but limited) impact of the technology based approach will find it difficult to accept that a reorientation is needed or will generate better results. In Malawi, for example, there was substantial resistance from commodity research programmes to the development of adaptive research teams. The role of adaptive teams had a role to play in setting the agenda for commodity teams was not easily accepted. The commodity teams wanted adaptive teams to be restricted to testing their station generated technologies on farmers fields in different agro-ecological conditions.

including university departments and supply companies.

Linkages and information flows

While the introduction of OFCOR and have blurred the distinction between extension, they have not obviated the need for development of good information flows. technology generation and dissemination in Swaziland and Zambia miscommunication. OFCOR and T&V teams led to the development of mechanisms for improving information flows (Seubert & Waterworth, 1991). In Zambia, information structures, information formats and extension have been used to enhance research communication (Waterworth, 1990). In South Africa, emphasis has been placed on providing information in ways that are useful to farmers (Seubert, 1989).

In South Africa it will be necessary to improve communication between such diverse groups as research institutes, provincial government and extension organisations. No doubt, the linkages between departments and commercial input suppliers will be a formidable one and underestimated as it has been by earlier T&V programmes, which assumed that extension approaches themselves would facilitate extension-farmer links. Much can be learned from neighbouring country experiences with communication problems. These include developing joint research-extension programmes, implementation of field work such as the Committee for On-farm Research (COFAR) in Shumba, 1989), Lesotho's extension programme implementing a collaborative research programme (Low & Mokheseng, 1988) and the use of farmer groups to bring researchers and extension workers together (Norman et al, 1988).

5. Conclusion

Key lessons that emerge from the experiences of neighbouring counties in implementing extension approaches aimed at meeting the needs of small scale farmers can be summarised in the following words: commitment, competence, communication.

A genuine commitment to change from a top down transfer of technology to a farmer oriented approach to research and extension is needed at all levels. This commitment needs to be supported by establishment of field OFCOR and T&V teams. The change of perspective needs to be supported by research and extension activities.

Such a change will inevitably take time. In the meantime it is essential that the current perspective is not weakened by a lack of implementation. Maximum use should be made of community level experience of the NGOs in South Africa and a premium needs to be placed on local level training by experienced practitioners.

Finally the technology generation and dissemination continuum needs to be well linked to the extension particularly at the local level.

research and experiment station research
agricultural research systems: management l
nine country case studies. OFCOR Compar
No.1. The Hague, Netherlands: ISNAR.

NORMAN D, BAKER D, HEINRICH G &
F. (1988). Technology development and far
experiences from Botswana. *Experimental*
24:321-331.

SEUBERT C (1989). On-farm research an
linkages: experience from Swaziland.
Network Report No. 19. Harare.

SHUMBA EM. (1988). Maize technology
Mangwende, a high potential comm
environment in Zimbabwe, Part 1: De
research agenda. *Farming Systems Newsl*
34.