



**AgEcon** SEARCH  
RESEARCH IN AGRICULTURAL & APPLIED ECONOMICS

*The World's Largest Open Access Agricultural & Applied Economics Digital Library*

**This document is discoverable and free to researchers across the globe due to the work of AgEcon Search.**

**Help ensure our sustainability.**

Give to AgEcon Search

AgEcon Search

<http://ageconsearch.umn.edu>

[aesearch@umn.edu](mailto:aesearch@umn.edu)

*Papers downloaded from **AgEcon Search** may be used for non-commercial purposes and personal study only. No other use, including posting to another Internet site, is permitted without permission from the copyright owner (not AgEcon Search), or as allowed under the provisions of Fair Use, U.S. Copyright Act, Title 17 U.S.C.*

1 **Social Networks, Farm Assets, and Farmers' Perceptions of Climate Change in**

2 **China**

3

4 **Lingling Hou, Ph.D**

5 Assistant Professor

6 Center for Chinese Agricultural Policy, Institute of Geographic Sciences and Natural

7 Resources Research, Chinese Academy of Sciences

8 Email: [llhou.ccap@igsnr.ac.cn](mailto:llhou.ccap@igsnr.ac.cn)

9 Tel: 0086-10-6488-8985

10

11 **Jikun Huang\*, Ph.D**

12 Director and Professor

13 Center for Chinese Agricultural Policy, Institute of Geographic Sciences and Natural

14 Resources Research, Chinese Academy of Sciences

15 Email: [jkhuang.ccap@igsnr.ac.cn](mailto:jkhuang.ccap@igsnr.ac.cn)

16 Tel: 0086-10-6488-9833

17 Address: Jia 11 Datun Road, Anwai, Beijing 100101, China

18

19 **Jinxia Wang, Ph.D**

20 Professor

21 Center for Chinese Agricultural Policy, Institute of Geographic Sciences and Natural

22 Resources Research, Chinese Academy of Sciences

23 Email: [jxwang.ccap@igsnr.ac.cn](mailto:jxwang.ccap@igsnr.ac.cn)

24 Tel: 0086-10-6488-9841

25

26

27 Abstract:

28 Farmers' perceptions of the local climate reflect their awareness of climate change  
29 and may affect their adaptation behaviors. However, current literature suffers a  
30 knowledge gap on understanding farmers' perceptions of climate change. This study  
31 examines farmers' perceptions of annual mean temperature, the consistency of these  
32 perceptions with meteorological record data, and what influences this consistency.

33 The study found that more than 70% of farmers in China perceived an increasing  
34 trend of annual mean temperature over the past 10 years, while only 18% of farmers  
35 correctly perceived a decreasing trend, which is consistent with the meteorological  
36 record data. Econometric analysis shows that social networks can improve a farmer's  
37 ability to correctly perceive temperature changes. Additionally, those with a larger  
38 farm size are more likely to be able to consistently perceive temperature. This paper  
39 concludes with several policy and research implications.

40 Key words: social networks, farm assets, perception, consistency, climate change,

41 China

42

## 43 **1. Introduction**

44       Scholars and policy makers have focused on how to improve the adaptive  
45 capacity of the agricultural sector, due to its vulnerability to climate change (Fischer  
46 et al. 2002, Parry et al. 2004, Piao et al. 2010). Anatomies or typologies have been  
47 developed to systematically classify and characterize agricultural options for adapting  
48 to climate change (Smit & Skinner 2002, Lim et al. 2005). Evaluations of these  
49 various adaptation measures have shown that farmers' adaptations play a significant  
50 role in mitigating the negative impacts of climate change (Kaiser et al. 1993, Smit &  
51 Pilifosova 2001, Reidsma et al. 2010, Olesen et al. 2011).

52       The first step in the process of adaptation, according to some scholars, is  
53 understanding farmers' perceptions of climate change. Dijksterhuis et al. (2001)  
54 pointed out that farmers' perceptions reflect their awareness of climate change and  
55 determine whether they will take adaptive actions. Farmers' adaptation behaviors can  
56 be viewed as a two-stage decision process. First, farmers perceive or detect a change  
57 in climate correctly. Second, farmers adapt certain behaviors (Moser & Ekstrom  
58 2010). Therefore, before examining whether farmers will take adaptive actions and  
59 what kinds of adaptive measures they take, scholars must understand how farmers  
60 perceive changes in climate and whether their perceptions are consistent with the  
61 actual change(s) that occur.

62       Although some studies show that most farmers have perceived significant past  
63 climate changes (Mertz et al. 2009, Deressa et al. 2009, Deressa et al. 2011, Tambo et

64 al. 2012, Sjögersten et al. 2013, Rashid et al. 2014), none of these studies explore  
65 whether farmers' perceptions agree with actual climate trends. Hansen and coauthors  
66 (2004) reported some inconsistencies between farmers' recollection of years with  
67 extreme cold temperatures and available local meteorological data in the Argentine  
68 Pampas and South Florida; for example, farmers claimed to have experienced 13  
69 freeze years whereas official data reported only seven years. Conversely, Hageback  
70 and coauthors (2005) found that farmers in the Danangou watershed in China agreed  
71 on a warming and drying trend, and these perceptions of climatic variability  
72 corresponded with the meteorological record.

73         Some studies examined factors affecting farmers' perceptions of climate  
74 change, but not the determinants of the consistency of farmers' perceptions with  
75 actual climate trends. Deressa et al. (2011) found that social networks influenced  
76 farmers' perceptions of climate change. Social networks have been viewed as critical  
77 factors in information dissemination, and farmers with greater assets are believed to  
78 be more likely to seek and make use of shared information (Demiryurek et al. 2008,  
79 Gueye 2009, Langyintuo & Mungoma 2008). Semenza and coauthors (2008) show  
80 that individuals with lower incomes are more concerned with climate change. Other  
81 factors such as gender, ethnic background, membership in environmental groups,  
82 education, access to extension services (e.g., climate information and production  
83 technologies), and exposure to mass media affect peoples' perceptions as well  
84 (Leiserowitz 2007, Gbetibouo 2009, Akter & Bennett 2011).

85           Given this knowledge gap, several research questions emerge. How do local  
86 farmers perceive climate trends, and do these perceptions correspond with  
87 meteorological records? What factors affect the consistency of farmers' perceptions?  
88 Why do discrepancies exist between farmers' perceptions and meteorological data?  
89 Answering these questions is critical not only to better understanding farmers'  
90 perceptions of climate change, but also to providing empirical evidence for policies  
91 that aim to improve farmers' adaptive capacity by enhancing their ability to correctly  
92 perceive climate change.

93           As such, our paper has two specific objectives: (1) examining the consistency  
94 of farmers' perceptions, and (2) identifying the factors that influence this consistency.  
95 We used a large-scale primary household survey in nine provinces in China to  
96 compare farmers' perceptions with the corresponding meteorological dataset.  
97 Although there are many indicators of climate change, due to data limitation, we only  
98 selected air temperature as a key indicator to measure climate change.

99           This paper is organized as follows. Section 2 describes the data sources and  
100 major variables used in our analysis. Section 3 presents historical trends in  
101 temperature change, farmers' perceptions of temperature change and their consistency  
102 with the meteorological record, a descriptive analysis on the relationships between  
103 this consistency, social networks and farm assets, and the relationship between  
104 farmers' perceptions and adaptive behaviors. Section 4 analyzes the impacts of social  
105 networks, farm assets, and other control variables on the consistency of farmers'

106 perceptions with the meteorological record, using an econometric model. Conclusions  
107 and recommendations are included in Section 5.

## 108 **2. Data**

109 This study employs two datasets: (1) a large-scale household survey conducted  
110 from late 2012 to early 2013, and (2) a meteorological record dataset of nine  
111 provinces in China. The household survey shows how local farmers perceive climate  
112 change, while the meteorological data are used to determine the actual change in  
113 climate. Comparing the two datasets allows us to identify the consistency of farmers'  
114 perceptions with the actual data.

115 The household survey was conducted in nine provinces: Jilin, Hebei, Henan,  
116 Shandong, and Anhui Provinces in northern China, and Jiangsu, Jiangxi, Yunnan, and  
117 Guangdong Provinces in southern China (Figure 1). Three counties in each province  
118 except for Jiangxi (10 counties) and Guangdong (6 counties)<sup>1</sup> were randomly selected  
119 from the counties that met the following two conditions: (1) had experienced a serious  
120 drought or flood during 2010-2012, and (2) had experienced a normal weather year  
121 during 2010-2012. Within each county, a stratified random sampling was used to  
122 select three townships. Townships were stratified into three groups by the condition of  
123 their rural water infrastructure: 1/3 of the sample with above average condition; 1/3  
124 with average condition; and 1/3 with below average condition. One township was  
125 randomly selected from each of the three groups. Within each township, three villages

---

<sup>1</sup> Surveys in Jiangxi and Guangdong provinces were funded by two projects that used the same sampling framework and survey questionnaires.

126 were selected randomly and 10 farmers were randomly selected from each village. In  
127 total, the sample includes 3,330 households from 330 villages in 37 counties in 9  
128 provinces in China. For more detailed sampling rules, please refer to Huang and  
129 coauthors (2014).

130       The data used in this study are a subset of the above primary household survey.  
131 In this section, farmers were asked their perceptions of the pattern of annual mean  
132 temperature over the past 10 years (from 2003 to 2012). Four choices were available:  
133 increasing, decreasing, unchanged, and unknown.

134       The survey also covered basic information on farmers' social networks, farm  
135 assets, demographic characteristics (e.g., age, education, and gender), and village  
136 characteristics (e.g., whether the village had a continuous residential area and the  
137 village's distance from the county seat). Social networks were measured by three  
138 indicators: (1) whether the village had farmers' organizations (e.g., water user  
139 association, agricultural production or marketing cooperative, or a women's  
140 association), (2) number of living relatives of farmers within three generations, and  
141 (3) whether these relatives served as village leaders. Farm assets were measured by  
142 farm size and wealth (i.e. the total value of durable consumption assets and  
143 structures). The descriptive statistics of these indicators are summarized in Appendix  
144 Table 1.

145       Meteorological information on the annual mean temperature was obtained from  
146 the National Meteorological Information Center. The dataset contained daily



147 temperature measurements from 1960 to 2012 in 756 national ground-based  
148 meteorological stations located throughout China. We assumed that temperature was  
149 homogenous across a county. However, in our 37 sampled counties, only 14  
150 contained national meteorological stations. In order to obtain county-level  
151 temperature data for the other 23 counties, a spatial interpolation method proposed by  
152 Thornton and coauthors (1997) was used. Their method has been widely used (White  
153 et al. 1997, Hasenauer et al. 2003) and is based on the spatial convolution of a  
154 truncated Gaussian weighting filter with a set of station locations. Required inputs  
155 include digital elevation data and observations of maximum temperature, minimum  
156 temperature, and precipitation from ground-based meteorological stations. A cross-  
157 validation analysis was performed and the temperature prediction has been validated.  
158 The same interpolation data has also been used by Zhang and coauthors (2013).

### 159 **3. Descriptive analysis**

#### 160 *3.1 Temperature trends*

161 While the annual mean temperature for most provinces has increased over the  
162 past 50 years, the past decade showed a decreasing trend. The annual mean  
163 temperature for each sample county during 2003-2012 is plotted in Figure 2. A simple  
164 linear regression model was used to examine the trend of annual mean temperature in  
165 each county.<sup>2</sup> Thirty of the 37 sample counties experienced a decreasing temperature

---

<sup>2</sup> An increasing (decreasing) trend is implied by a positive (negative) coefficient greater (less) than 0.01 (-0.01) without considering statistical significance. If the coefficient is between 0.01 and -0.01, an unchanged trend is assigned. We select  $\pm 0.01^\circ\text{C}$  per annum as the cutting points based on the fact that China's surface mean temperature increased by  $1.1^\circ\text{C}$  over the past century (1908-2007). On average, it increased by  $0.01^\circ\text{C}$  per annum.

166 trend over the past 10 years (2003-2012), while during the same period, five counties  
167 (Wei Chang in Hebei Province, Hua Xian and Yuan Yang in Henan Province, Xuan  
168 Wei and Yan Shan in Yunnan Province) showed an increasing temperature trend.  
169 Two counties (Wei Xian in Hebei Province and Jun Cheng in Shandong Province) did  
170 not experience significant changes.

171 *3.2 Farmers' perceptions of temperature change and their consistency with the*  
172 *meteorological record*

173 Interestingly, although most study counties showed falling temperatures over  
174 the past 10 years, 72% of farmers still perceived an increasing temperature trend over  
175 the same period (Table 1). The percentage of farmers who perceived the increased  
176 temperature trend was higher in southern China than in northern China. For example,  
177 78%, 80%, and 83% of farmers in Jiangxi, Guangdong, and Yunnan provinces in  
178 southern China, respectively, perceived an increasing trend in temperature, while  
179 these numbers were lower in Jilin (57%) and Henan (61.5%) provinces in northern  
180 China. Only 8% of farmers perceived decreasing temperatures over the last 10 years  
181 (Table 1). The northernmost province, Jilin, had the highest proportion of farmers  
182 who reported a decreasing trend (24.1%), while this number was the lowest for  
183 farmers in Yunnan Province, located in southern China (9.6%). Overall, 16.6% of  
184 farmers thought that the temperature had not changed over the last 10 years, with  
185 Henan (30.7%) and Jiangsu (25.2%) provinces ranking as the top two. Only 3.2% of  
186 farmers said they did not know the trend of annual temperature over the last 10 years.

187 Farmers' perceptions of temperature changes were then compared with the  
188 corresponding actual temperature data presented in the previous subsection. In the  
189 analysis, we excluded the 3% of farmers who answered "did not know," and ended up  
190 with 3,225 valid household responses. Through this comparison, we divided all  
191 farmers into two groups: (1) those consistent with the actual temperature record trends  
192 in their own counties, and (2) those inconsistent with the recorded trends.

193 Only 17.7% of the 3,225 farmers' perceptions of temperature were consistent  
194 with the actual recorded data (second row in Table 2). It is not surprising to see such  
195 low consistency, since the actual data showed decreasing trends (Figure 3) while the  
196 farmers perceived increasing trends. Why were some farmers' perceptions consistent  
197 with actual meteorological record data, but others were not? In the following sections,  
198 we will explore this issue based on both descriptive analysis and an econometric  
199 estimation.

### 200 *3.3 Social networks, farm assets, and farmers' perceptions*

201 Social networks play a significant role in information exchange (Isham 2002).  
202 Deressa et al. (2011) found that social networks influenced farmers' perceptions of  
203 climate change, and used farmer-to-farmer extension services as well as the number  
204 of relatives in the village as indicators.

205 We expect that farmers with more developed social networks are more likely to  
206 perceive temperature changes that are consistent with actual data. As shown in Table  
207 2, in those villages with farmers' organizations, 19.6% of farmers' perceptions of

208 temperature were consistent with the meteorological record data, higher than those in  
209 villages without farmers' organization (16.6%) ( $p$ -value  $< 0.05$ ). This difference  
210 implies that the availability of and attendance at farmers' organization activities can  
211 increase farmers' opportunities to obtain actual information on local weather. In  
212 addition, having more relatives in the village also extends farmers' networks to  
213 acquire more information. If the farmers had more relatives (i.e., more than 13  
214 relatives within three generations) in their family, the consistency of their perceptions  
215 (18.7%) was also higher ( $p$ -value  $< 0.05$ ). However, to our surprise, the consistency  
216 rate for the households that included a relative who was a village leader (9.4%) was  
217 much lower than households without a village leader (18.9%) ( $p$ -value  $< 0.01$ ).

218       Regarding farm assets, the descriptive analysis supports that farmers with more  
219 significant assets are more likely to have perceptions consistent with real data. As  
220 shown in Table 2, 19.6% of farmers who operated large farms had perceptions that  
221 were consistent with meteorological data, while this number was only 17.4% for small  
222 farm holders and 16% for medium farm holders. This could imply that larger farms  
223 are more concerned about temperature changes. However, wealthier farmers were less  
224 likely to have consistent perceptions (13.1%), compared to 18.8% of moderately  
225 wealthy farmers, and 21.2% of the least wealthy farmers. Possibly, wealthy farmers  
226 are better able to adapt to temperature (by using air conditioners or having better  
227 quality clothes), leaving them less sensitive to temperature changes.

228

229

230 *3.4 Farmers' perceptions and their adaptive behaviors*

231 Examining the consistency of farmers' perceptions of temperature will have  
232 more significant implications if these perceptions are shown to impact farmers'  
233 adaptive responses. Our descriptive analysis showed that farmers who perceived an  
234 increasing temperature trend were more likely to irrigate their land and to use  
235 drought-resistant crop varieties. As shown in Table 3, 61% of farmers who perceived  
236 an increasing temperature trend took irrigation actions, while this number was only  
237 54.7% for those with decreasing and unchanged trends ( $p$ -value  $< 0.01$ ). The adoption  
238 rate of drought-resistant crop varieties was 10.5% for the farmers who perceived an  
239 increasing temperature trend, but only 8.2% for those who perceived a decreasing  
240 trend ( $p$ -value  $< 0.05$ ).

241 **4. Determinants of the Consistency of Farmers' Perceptions**

242 It is impossible to isolate the impact of a single factor by descriptive statistical  
243 analysis, since it cannot control the impacts of other factors. Therefore, this section  
244 employs an econometric model to estimate the effects of social networks, farm assets,  
245 and other control variables on the consistency of farmers' perceptions of temperature.

246 *4.1. Specification of econometric model*

247 To explain the consistency rates of farmers' perceptions of temperature, we  
248 chose specific explanatory variables based on literature and data availability. As  
249 discussed in Section 3, the key independent variables included social networks and

250 farm assets. Media coverage is also expected to be a key factor in shaping farmers'  
 251 perceptions; however, we had to exclude this indicator due to a shortage of available  
 252 data. In addition, controlled variables included characteristics of the farmers, villages,  
 253 and counties. We also added a set of provincial dummy variables to control regional  
 254 variations. To capture the effect of temperature variation, we added the coefficients of  
 255 variation of temperature during 2003 to 2012 for each county. The empirical model is  
 256 specified as follows:

$$257 \quad C_{ijkp} = \beta_0 + \beta_1 SN_{ijkp} + \beta_2 FA_{ijkp} + \beta_3 FC_{ijkp} + \beta_4 VC_{jkp} + \beta_5 CT_{kp} + \beta_6 CV_{kp} + \beta_7 PD_p + \varepsilon_{ijkp}$$

258 where  $i, j, k$  and  $p$  represent the  $i^{\text{th}}$  farmer in the  $j^{\text{th}}$  village in the  $k^{\text{th}}$  county of  
 259 the  $p^{\text{th}}$  province.  $\varepsilon_{ijkp}$  is the error term and all  $\beta$  s are the parameters to be estimated.

260 Given the nature of the dependent variable, a logistic model was used to estimate the  
 261 econometric model.

262 The dependent variable,  $C$ , is whether or not a farmer's perception was consistent  
 263 with the actual temperature trend on record, with 1 denoting consistency and 0 for  
 264 inconsistency. The first set of the independent variables,  $SN$ , is a vector of variables  
 265 that reflects social networks. As we discussed above, this included (1) whether a  
 266 village had any farmers' organizations (yes = 1; no = 0), (2) number of living relatives  
 267 within three generations, and (3) whether a family member was a village leader (yes =  
 268 1; no = 0). The second set of independent variables,  $FA$ , is a vector of variables that

269 reflects farm assets, including farm size in hectares and wealth level. Wealth is  
270 measured by durable consumption assets and house value in thousand RMB.<sup>3</sup>  
271 Other socio-economic characteristics of farmers and villages were controlled.  
272 Farm-level controls are represented by a vector of variables, *FC*, that includes  
273 education level (number of school years), age (years) and gender (male = 1; female =  
274 0) of the respondent farmers. Variables representing village characteristics, *VC*,  
275 include whether a village had a continuous residential area (yes = 1; no = 0) and  
276 distance to the county seat (km).

277 We also controlled some county-level and provincial-level factors. *CT* is a  
278 dummy variable that represents county type (drought county = 1; flood county = 0).  
279 *CV* is a variable that represents the temperature variation, indicated by the coefficients  
280 of variation of temperature during the study period, and *PD* is a set of provincial  
281 dummy variables that control the differences among provinces.

#### 282 4.2 Estimation results

283 The estimated results suggest that the logistic model performed well. The  
284 likelihood ratio statistics were significant, at a 1% significance level that passed the  
285 Chi square test (Table 4). The pseudo  $R^2$  was 0.23, high enough for a multivariate  
286 analysis based on cross-sectional data. Furthermore, the signs of the estimated  
287 parameters for all variables were consistent with our expectations, and most of them  
288 were statistically significant. Multicollinearity was not a problem in this model, since

---

<sup>3</sup> RMB is the unit of Chinese currency. 1 RMB = 0.1626 US dollars in 2014.

289 the variance inflation factor (VIF) for all variables was less than 10 (ranging from  
290 1.02 to 3.90).

291 *Social networks and consistency of farmers' perceptions of temperature*

292 Our estimation results reveal that social networks enhanced the consistency of  
293 farmers' perceptions. The coefficient of the dummy variable representing a village  
294 with farmers' organization(s) was positive and statistically significant (Table 4). This  
295 implies that the existence of farmers' organizations increases the probability of  
296 consistency between farmers' perceptions of temperature and real data. Marginal  
297 effects show that farmers who lived in a village with farmers' organizations had a  
298 2.7% higher probability of reporting consistent perceptions compared to those in  
299 villages without any farmers' organizations. This result implies that farmers'  
300 organizations can serve as hotspots for disseminating climate change information, as  
301 farmers who attend the organization's activities may exchange farming experiences  
302 and information, including those related to climate change.

303 The more relatives the farmers have, the more likely they are to have  
304 perceptions that are consistent with recorded data. The coefficient of the number of  
305 relatives was positive and statistically significant (Table 4). One more relative within  
306 three generations increased the probability of consistent perceptions by 0.3%. This  
307 result is consistent with our descriptive analysis shown in Table 2. Therefore, relatives  
308 are important social networks and information sources for farmers. However, the  
309 coefficient of village leaders was not significant: after controlling for the impacts of



310 other factors, the observed difference in the consistency of perceptions between  
311 households with and without a village leader was not statistically significant.

312 *Farm assets and consistency of farmers' perceptions of temperature*

313 Our estimation results show that farm size had a positive impact on the consistency of  
314 farmers' perceptions (Table 4). If farm size was increased by one hectare, the  
315 likelihood of consistent perception increased by 0.6%. Generally speaking, farmers  
316 with larger farms usually are those with the greatest farming capacities, which may  
317 include a better capacity to detect temperature trends. These farmers may pay more  
318 attention to climate factors, as temperature change may affect their crop production  
319 more significantly than those on smaller farms.

320         However, we found that wealthier farmers were less likely to have consistent  
321 perceptions. The coefficients of wealth dummy variables were negative and the one of  
322 the high wealth level is statistically significant (Table 4). The probability of having  
323 perceptions consistent with data for the high wealth group is 2.9% lower than that for  
324 the low wealth group. Possibly, wealthier farmers have more durable consumption  
325 assets and better living conditions, such as air conditioners or heating system, which  
326 enable them to adapt to and care less about temperature changes.

327 *Other factors and consistency of farmers' perceptions of temperature*

328         Estimation results show that consistency of farmers' perceptions of temperature  
329 did not vary based on farmers' characteristics (Table 4). The coefficient of age was

330 negative but statistically nonsignificant, while the coefficients of gender and  
331 education were positive but also statistically nonsignificant.

332       Two village characteristics, however, did have significant influence on the  
333 consistency of farmers' perceptions. Interestingly, a continuous residential area  
334 positively affected the consistency of farmers' perceptions (Table 4). Continuous  
335 residential areas provide convenient ways for farmers to communicate information,  
336 including information relevant to climate change. Another interesting result was that  
337 consistent perceptions were more often reported by farmers who live farther from the  
338 county seat. This is perhaps because farmers living farther from the center of county  
339 activity have less information to process than those who live closer.

340       Our results also show that it was more difficult for farmers to have consistent  
341 perceptions when facing larger temperature variations. The coefficient of temperature  
342 variation was negative and statistically significant, consistent with our expectations.

## 343 **5. Concluding Remarks**

344       This study sought to examine the consistency of farmers' perceptions of  
345 temperature and its influencing factors, particularly the relationship between  
346 consistency, social networks, and farm assets. Meteorological record data show that in  
347 the past 10 years (2003-2012), the mean annual temperature in most sample counties  
348 decreased. However, our large-scale field survey data from nine provinces in China  
349 show that more than 70% of farmers reported that the annual mean temperature  
350 tended to increase over this period.

351           There are two possible reasons for this low level of consistency between  
352 perceptions and real data. Historical temperature data show that while the average  
353 annual mean temperature increased over the past 50 years, the past decade showed a  
354 decreasing trend. When we asked farmers the overall trend of temperatures in the past  
355 10 years, the elder farmers tended to recall temperature trends longer than 10 years.  
356 While we did ask farmers' perceptions of temperature trends in the past 30-plus years,  
357 we chose to use 10 years as the official time frame, as a large number of respondents  
358 explained that they could not recall that long time period. One implication suggested  
359 by our findings is to reconsider the design of an appropriate time horizon for similar  
360 studies (e.g., varying in accordance with respondents' ages). If farmers have been  
361 more dependent on temperature changes in recent years (e.g., 10 years or so) to make  
362 their adaptation decisions, then the low consistency of perceptions found in this study  
363 implies that greater efforts are needed to help farmers better understand actual  
364 temperature or climate changes so that they can adapt appropriately.

365           Although complex forces including psychological, cultural, and political factors  
366 can shape farmers' perceptions, our study found that social networks can significantly  
367 enhance the consistency of farmers' perceptions. However, this result should not be  
368 limited to farmers' organizations and the number of relatives, as examined in this  
369 study. Researchers should give similar attention to other dimensions of social capital  
370 that are not examined here but that could also improve and enlarge farmers' social  
371 networks, such as trust and collective action (Narayan and Cassidy 2001). The

372 positive relationship between farm size and consistent perceptions implies that while  
373 efforts are needed to improve climate change knowledge for all farmers, particular  
374 attention should be paid to the small farm holder.

375         This study did not rigorously examine the impact of farmers' perceptions of  
376 climate change on their adaptive measures. As such, this is an area that also requires  
377 further research. If farmers' perceptions have significant impacts on their adaptive  
378 behaviors, examining the consistency of farmers' perceptions of climate change with  
379 actual data could provide substantial results. This examination could help identify  
380 whether or not farmers are adapting to climate change in appropriate and effective  
381 ways. Adapting to climate change through inappropriate measures wastes resources  
382 and could exacerbate the adverse impacts from climate change. For example, if actual  
383 data show that temperature decreased in spring while farmers' perceptions were that it  
384 increased, farmers should delay the planting date rather than advancing it.

385         We only focused on farmers' perceptions of one indicator of climate change:  
386 temperature trends. Perceptions of other indicators of climate change, such as  
387 precipitation (i.e., drought frequency and flood frequency), may have more direct  
388 significance to adaptive responses. As a result, further research is suggested that  
389 addresses the consistency of farmers' perceptions based on additional indicators of  
390 climate change.

391

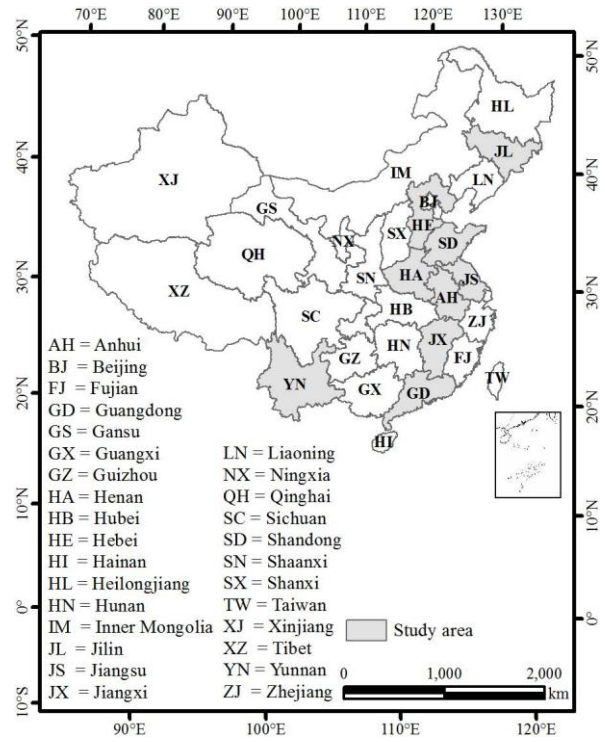


Figure 1. Locations of the study areas

392  
393  
394

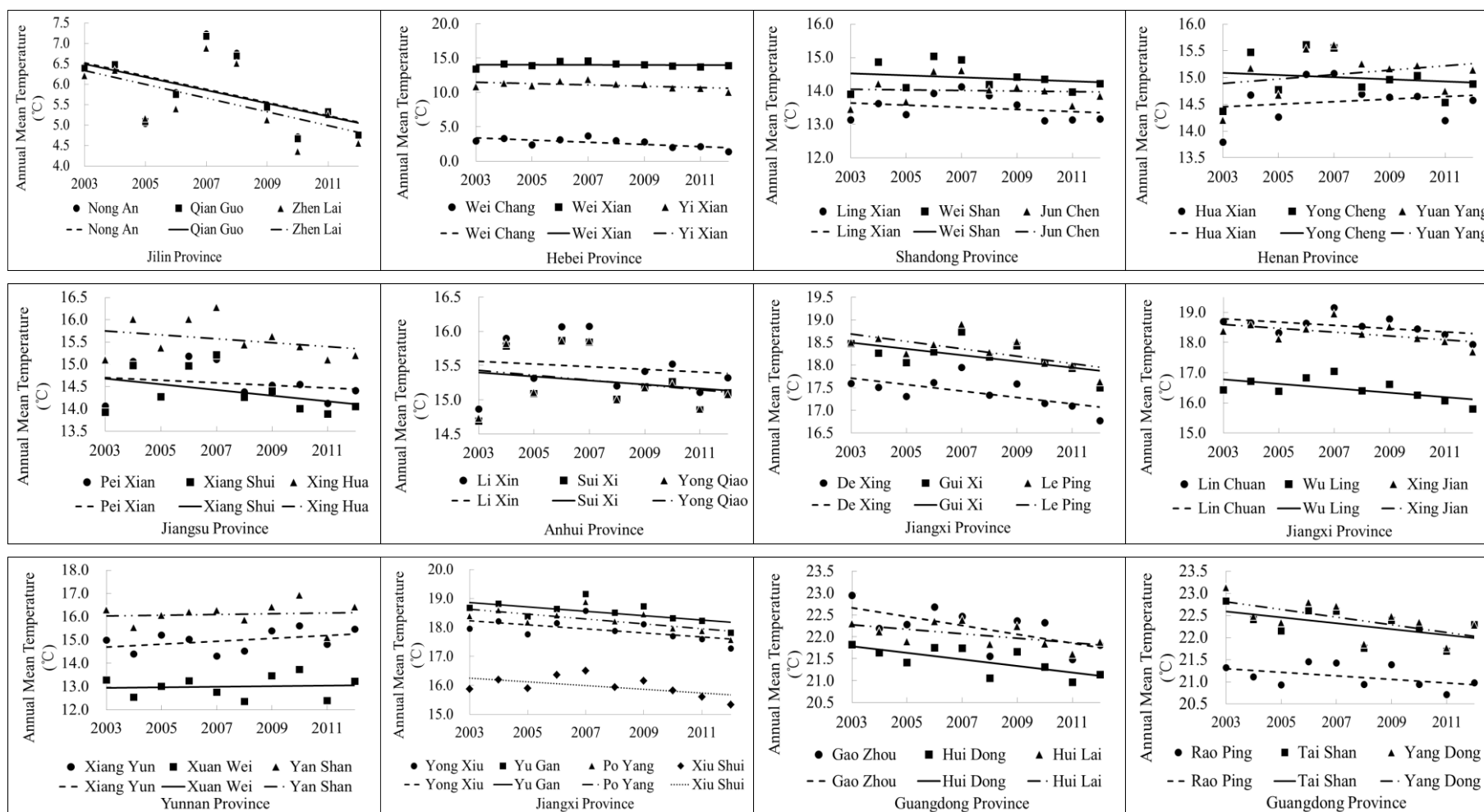


Figure 2. Annual mean temperature and its trend in 37 counties in 9 provinces in China from 2003 to 2012

Note: Each line represents an estimated linear regression function for a county.

Table 1. Percentage of farmers' perceived changes on annual temperature in the past 10 years  
by province

Province	Increasing	Decreasing	Unchanged	Did not know
Average	72.3	8.0	16.6	3.2
Jilin	57.4	24.1	14.4	4.1
Hebei	66.3	10.0	20.4	3.3
Shandong	73.3	4.8	19.6	2.2
Henan	61.5	4.4	30.7	3.3
Jiangsu	61.5	10.0	25.2	3.3
Anhui	68.2	7.0	21.5	3.3
Jiangxi	78.2	8.2	10.3	3.2
Guangdong	79.9	2.6	14.2	3.3
Yunnan	82.6	5.2	9.6	2.6

Source: Authors' survey.

Table 2. Social networks, farm assets, and consistency of farmers' perceptions

	Percentage of farmers whose perceptions were consistent with actual meteorological data
Average	17.7
Social Networks	
Village with farmers' organization	
Yes = 1	19.6**
No = 0	16.6
No. of relatives within 3 generations <sup>a</sup>	
The higher half sample ( $\geq 13$ )	18.7**
The lower half sample ( $<13$ )	16.5
Village leader	
Yes = 1	9.4
No = 0	18.9***
Farm Assets	
Farm size <sup>b</sup>	
Small ( $\leq 0.4$ ha)	17.4
Medium (0.4~0.8 ha)	16.0
Large ( $\geq 0.8$ ha)	19.6*
Wealth level <sup>c</sup>	
Low ( $\leq 61,350$ RMB)	21.2
Medium (61,350 ~ 156,200 RMB)	18.8*
High ( $\geq 156,200$ RMB)	13.1***

Source: Authors' survey

<sup>a</sup> The sample is divided into two equal subsamples from the lowest to the highest value by number of relatives. The median is 13.

<sup>b</sup> The sample is divided into three equal subsamples from the lowest to the highest value by farm size. Small farm is selected as baseline for t-test.

<sup>c</sup> The sample is divided into three equal subsamples from the lowest to the highest value by wealth level. The group with low wealth level is selected as baseline for t-test.

\*\*\* for  $p < 0.01$ , \*\*  $p < 0.05$  and \*  $p < 0.10$



Table 3. Adoption rates of adaptive measures and farmers' perceptions

Farmers' perceptions	Adoption rates of adaptation measures (%)	
	Irrigation	Drought-resistant crop varieties
Average	59.5	9.9
Increasing	61.0***	10.5**
Decreasing or unchanged	54.7	8.2

Source: Authors' survey

\*\*\* for  $p < 0.01$ , \*\*  $p < 0.05$ , and \*  $p < 0.10$

Table 4. Estimation results on the determinants of consistency of farmers' perceptions (Logit model) <sup>a</sup>

Explanatory Variables	Consistency of farmers' perceptions (consistency = 1; inconsistency = 0)	
	Coefficient	Marginal effect
<b>Social Networks</b>		
Village with farmers' organization (yes = 1; no = 0)	0.237** (2.06) <sup>a</sup>	0.027**
No. of relatives within 3 generations	0.028*** (3.21)	0.003***
Village leader (yes = 1; no = 0)	-0.119 (-0.58)	-0.013
<b>Farm Assets</b>		
Farm size (ha)	0.063*** (3.59)	0.007***
<b>Wealth level<sup>b</sup></b>		
Medium (61,350 ~ 156,200 RMB)	-0.040 (-0.32)	-0.004
High ( $\geq$ 156,200 RMB)	-0.259* (-1.85)	-0.029*
<b>Respondent's Characteristics</b>		
Age (years)	-0.009 (-1.59)	-0.001
Gender (male = 1; female = 0)	0.045 (0.27)	0.005
Education (years)	0.008 (0.43)	0.001
<b>Village Characteristics</b>		
Village with continuous residential area (yes = 1; no = 0)	0.337*** (2.83)	0.038***
Distance to county (km)	0.009*** (3.71)	0.001***
County type (drought = 1; flood = 0)	1.199*** (6.53)	0.134***
Temperature variation measured by Coefficient of Variation	-3.522*** (-2.59)	-0.397***
Province dummy variables and constant	Not reported here	
Number of observations	3225	
Log likelihood ratio chi squared	683.15***	
Pseudo R <sup>2</sup>	0.227	

<sup>a</sup> All numbers in parentheses are robust z-statistics.

<sup>b</sup> The sample is divided into three equal subsamples from the lowest to the highest value by wealth level. The baseline is low wealth level.

\*\*\* for  $p < 0.01$ , \*\*  $p < 0.05$ , and \*  $p < 0.10$

Appendix Table 1. Descriptive statistics of the variables used in regression analysis

Variables	Mean	Std. dev.
Consistency of farmers' perceptions (consistency = 1; inconsistency = 0)	0.177	0.382
Social Networks		
Village with farmers' organization (yes = 1; no = 0)	0.353	0.478
No. of relatives within 3 generations	14.11	6.338
Village leader (yes = 1; no = 0)	0.125	0.331
Farm Assets		
Farm size (ha)	1.158	2.602
Wealth level (1,000 RMB)	152.3	277.9
Respondent's Characteristics		
Age (years)	52.85	10.07
Gender (male = 1; female = 0)	0.892	0.310
Education (years)	6.670	3.094
Village Characteristics		
Village with continuous residential area (yes = 1; no = 0)	0.583	0.493
Distance to county (km)	31.48	20.99
County type (drought = 1; flood = 0)	0.649	0.477
Temperature variation measured by Coefficient of Variation	0.041	0.051

Source: Authors' survey.

Note: Number of observations = 3,225.

## Acknowledgements

This work was supported by the National Basic Research Program of China (973 Program, 2012CB955700), the National Natural Sciences Foundation of China (70925001, 71161140351, 71303226), the International Development Research Center (107093-001), and the Australian Centre for International Agricultural Research (ADP/2010/070). The authors also extend appreciation to the anonymous reviewers whose input improved the quality of the manuscript.

## Reference:

- Akter S, Bennett J (2011) Household perceptions of climate change and preferences for mitigation action: the case of the carbon pollution reduction scheme in Australia. *Climatic Change* 109:417-436
- Demiryurek K, Erdem H, Ceyhan V, Atasever S, Uysal O (2008) Agricultural information systems and communication networks: the case of dairy farmers in the Samsun province of Turkey. *Information Research* 3 (2), 343.
- Deressa T, Hassan R, Ringler C (2011) Perception of and adaptation to climate change by farmers in the Nile Basin of Ethiopia. *The Journal of Agricultural Science* 149:23-31
- Deressa TT, Hassan RM, Ringler C, Alemu T, Yesuf M (2009) Determinants of farmers' choice of adaptation methods to climate change in the Nile basin of Ethiopia. *Global Environmental Change* 19:248-255
- Dijksterhuis A, Bargh JA (2001) The perception-behavior expressway: automatic effects of social perception on social behavior. *Advances in Experimental Social Psychology* 33:1-40
- Fischer G, Shah M, van Velthuisen H(2002) *Climate Change and Agricultural Vulnerability, A Special Report Prepared as a Contribution to the World Summit on Sustainable Development*, International Institute for Applied Systems Analysis, Laxenburg, Austria
- Gbetibouo GA. (2009). *Understanding farmers' perceptions and adaptations to climate change and variability: the case of the Limpopo Basin, South Africa*. International Food Policy Research Institute, Discussion Paper No. 00849. Washington DC, USA.
- Gueye E (2009) The role of networks in information dissemination to family poultry farmers. *World's Poultry Science Journal* 65:115-124
- Hageback J, Sundberg J, Ostwald M, Chen D, Yun X, Knutsson P (2005) Climate variability and land-use change in Danangou watershed, China—examples of small-scale farmers'

---

adaptation. *Climatic Change* 72:189-212

Hansen JW, Marx SM, Weber EU (2004) The role of climate perceptions, expectations, and forecasts in farmer decision making: the Argentine Pampas and South Florida. International Research Institute for Climate Prediction, New York, USA

Hasenauer H, Merganicova K, Petritsch R, Pietsch SA, Thornton PE (2003) Validating daily climate interpolations over complex terrain in Austria. *Agricultural and Forest Meteorology* 119:87-107

Huang J, Jiang J, Wang J, Hou L (2014) Crop Diversification in Coping with Extreme Weather Events in China. *Journal of Integrative Agriculture* 13:677-686

Isham J (2002) The effect of social capital on fertilizer adoption: evidence from rural Tanzania. *Journal of African Economies* 11:39-60

Kaiser HM, Riha SJ, Wilks DS, Rossiter DG, Sampath R (1993) A farm-level analysis of economic and agronomic impacts of gradual climate warming. *American Journal of Agricultural Economics* 75:387-398

Langyintuo AS, Mungoma C (2008) The effect of household wealth on the adoption of improved maize varieties in Zambia. *Food Policy* 33:550-559

Leiserowitz, A. (2007). International public opinion, perception, and understanding of global climate change. In: *Fighting climate change: human solidarity in a divided world*, Human Development Report 2007/2008, United Nations Development Program, UN.

Lim B, Spanger-Siegfried E, Burton I, Malone E, Huq S (2005) *Adaptation policy frameworks for climate change: developing strategies, policies, and measures*, Cambridge University Press, Cambridge

Mertz O, Mbow C, Reenberg A, Diouf A (2009) Farmers' perceptions of climate change and

agricultural adaptation strategies in rural Sahel. *Environmental Management* 43:804-816

Moser SC, Ekstrom JA (2010) A framework to diagnose barriers to climate change adaptation. *Proceedings of the National Academy of Sciences* 107:22026-22031

Narayan D and Cassidy MF (2001) A dimensional approach to measuring social capital: development and validation of a social capital inventory. *Current sociology* 49(2): 59-102.

Olesen JE, Trnka M, Kersebaum K, Skjelvåg AO and others (2011) Impacts and adaptation of european crop production systems to climate change. *European Journal of Agronomy* 34:96-112

Parry ML, Rosenzweig C, Iglesias A, Livermore M, Fischer G (2004) Effects of climate change on global food production under SRES emissions and socio-economic scenarios. *Global Environmental Change* 14:53-67

Piao S, Ciais P, Huang Y, Shen Z and others (2010) The impacts of climate change on water resources and agriculture in China. *Nature* 467:43-51

Rashid M, Afroz S, Gaydon D, Muttaleb A, Poulton P, Roth C, Abedin Z (2014) Climate change perception and adaptation options for agriculture in Southern Khulna of Bangladesh. *Science and Education* 2:25-31

Reidsma P, Ewert F, Lansink AO, Leemans R (2010) Adaptation to climate change and climate variability in European agriculture: The importance of farm level responses. *European Journal of Agronomy* 32:91-102

Semenza JC, Hall DE, Wilson DJ, Bontempo BD, Sailor DJ, George LA (2008) Public perception of climate change: voluntary mitigation and barriers to behavior change. *American Journal of Preventive Medicine* 35:479-487

Sjögersten S, Atkin C, Clarke M, Mooney S, Wu B, West H (2013) Responses to climate change

---

and farming policies by rural communities in northern china: a report on field observation and farmers' perception in dryland north Shaanxi and Ningxia. *Land Use Policy* 32:125-133

Smit B, Pilifosova O (2001) Adaptation to climate change in the context of sustainable development and equity. In: *Climate change 2001: impacts, adaptation, and vulnerability-Contribution of working group II to the third assessment report of the Intergovernmental Panel on Climate Change*, Cambridge University Press, Cambridge, UK

Smit B, Skinner MW (2002) Adaptation options in agriculture to climate change: a typology. *Mitigation and Adaptation Strategies for Global Change* 7:85-114

Tambo JA, Abdoulaye T (2012) Smallholder farmers' perceptions of and adaptations to climate change in the Nigerian Savanna. *Regional Environmental Change*:1-14

Thornton PE, Running SW, White MA (1997) Generating surfaces of daily meteorological variables over large regions of complex terrain. *Journal of Hydrology* 190:214-251

White MA, Thornton PE, Running SW (1997) A continental phenology model for monitoring vegetation responses to interannual climatic variability. *Global Biogeochemical Cycles* 11:217-234

Zhang T, Huang Y, Yang X (2013) Climate warming over the past three decades has shortened rice growth duration in china and cultivar shifts have further accelerated the process for late rice. *Global change biology* 19:563-570