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# **Tabulation of multiple responses**

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Abstract. Although multiple-response questions are quite common in survey research, Stata's official release does not provide much capability for an effective analysis of multiple-response variables. For example, in a study on drug addiction an interview question might be, "Which substances did you consume during the last four weeks?" The respondents just list all the drugs they took, if any; e.g., an answer could be "cannabis, cocaine, heroin" or "ecstasy, cannabis" or "none", etc. Usually, the responses to such questions are stored as a set of variables and, therefore, cannot be easily tabulated. I will address this issue here and present a new module to compute one- and two-way tables of multiple responses. The module supports several types of data structure, provides significance tests, and offers various options to control the computation and display of the results. In addition, tools to create graphs of multiple-response distributions are presented.

**Keywords:** st0082, mrtab, mrgraph, \_mrsvmat, multiple responses, multiple testing, tabulate

# 1 Introduction

Surveys often contain questions which can have multiple responses. That is, questions are asked to which a respondent can give zero, one, or more answers. For example, such a question might be, "Which of the following devices do you have in your home?" The respondent is then given a list like "1. Television, 2. Dishwasher, 3. Computer, 4. Dry cleaner..." and may mark any number of devices. Furthermore, the list might be openended, so that the respondent can also name devices that are not listed. Thus although not necessarily so, multiple-response questions often have an explorative character.

The answers to multiple-response questions are, in fact, a series of answers and, thus, are usually stored as a series of variables. However, because the variables constitute some topical entity, the combined statistical distribution of all variables may be of interest rather than the separate distributions of the single variables. Moreover, depending on the storage structure, the distributions of the single variables may be completely meaningless if taken individually.

Because multiple-response data is spread out over several variables, it cannot be easily tabulated. First, the data structure may vary, and it may be necessary to transform the data into different structures before tabulating. In particular, a storage type in which the responses are recorded in the order they have been mentioned by the respondent is quite common, even if the ordering is not relevant. For most purposes, however, it is useful to transform such data to a storage mode in which the single variables indicate whether particular responses have been observed or not. Second, statistical information from several variables has to be combined, which is not always just

a matter of arranging separate distributions in one table. Both tasks are difficult to accomplish using standard statistical instruments that are designed for the analysis of ordinary (single-response) variables. Thus it appears that Stata's official tools are not sufficient for an effective analysis of multiple-response data; additional instruments are needed.

In the following section, I will briefly touch on the issue of how to store the answers to multiple-response questions and then move on to the presentation of three new commands to support the analysis of multiple responses in section 3. Section 4 illustrates the capabilities and the usage of the new commands and contains some additional considerations about significance tests.

# 2 Approaches to storing multiple responses

The fact that the answers to multiple-response questions are typically composed of several bits of information poses difficulties for their representation in a dataset. A common way to deal with this issue is to store each part of the answer in a separate variable. Two main approaches may be distinguished: the indicator mode and the polytomous mode.

#### 2.1 Indicator mode

Consider the following question which could have been part of a questionnaire on drug addiction:

Which of the following narcotic substances did you consume during the last four weeks?			
4	Check all that apply		
	Cannabis		
	Cocktail (cocaine/heroin)		
	Heroin (alone)		
	Cocaine (alone)		
	Ecstasy		

Obviously, it would be most straightforward to construct a set of indicator or dummy variables in this case: one variable for each drug. Basically, the example question above is just a shortcut to five separate questions in the manner of, "Did you consume cannabis during the last four weeks?", "Did you consume a cocaine-heroin cocktail during the last four weeks?", and so on. The data would then look like the following (1 meaning "ticked", 0 meaning "not ticked"):

id	d1_cannabis	d2_cocktail	d3_heroin	d4_cocaine	d6_ecstasy
1	1	0	0	0	1
2	1	0	0	1	1
3	1	0	1	0	0
4	0	1	1	1	0
5	0	0	0	0	0

Thus respondent 1 ticked "Cannabis" and "Ecstasy", respondent 2 ticked "Cannabis", "Cocaine (alone)" and "Ecstasy", and so on. Respondent 5 did not tick any of the boxes.

In the remainder of this paper, I will use the term *indicator mode* to refer to the situation in which the data are stored as a set of indicator variables. Note that it is not crucial that the variables be dichotomous or binary. The important point is just that each item (here each drug) is represented by its own variable.

## 2.2 Polytomous mode

The indicator mode is particularly suitable if the list of response categories is fixed (like in the example above) and is not too long. However, multiple-response questions are often open or half-open due to their explorative nature. For example, the question on drug consumption might also have been

Which narcotic substances did you consume during the last four weeks?					

or maybe

Which na four weel	rcotic substances did you consume during the last
4	Check all that apply
	Cannabis
	Cocktail (cocaine/heroin)
	Heroin (alone)
	Cocaine (alone)
	Ecstasy
	Other substances:

The difference to the closed question in section 2.1 is that the respondent is also given the possibility of naming drugs other than the ones the researchers could think of when constructing the questionnaire. This means that (half-)open questions cannot be divided into separate questions for the different drugs; a final question summarizing the remainder is always needed (e.g., "Besides those you already mentioned, what *other* substances did you consume?").

Thus the list of possible response categories is not fixed, and one would have to update the list of responses continuously while collecting the data: Each time a new drug is named, it would be added to the list and given a unique code. Because the list is not fixed, it is also not possible to set up indicator variables for all items in advance.

Furthermore, even if a complete list of possible answers is known in advance, the indicator mode could be very inefficient because of the vast number of required variables. It would be more efficient in such cases to split the multiple responses according to their "order of appearance". Think of the answers to the above question as lists of drugs (for example, one answer is "cannabis, ecstasy", or "cocaine, heroin, cocktail, morphine"). We would then in each case take the first token of the list and save it in a first variable, take the second token and save it in a second variable, and so on. Thus if we were applying this strategy and using string variables to store the information, the data could look like the following:

druguse4	druguse3	druguse2	druguse1	id
		ecstasy	cannabis	1
	cannabis	cocaine	ecstasy	2
		cannabis	heroin	3
morphine	cocktail	heroin	cocaine	4
			LSD	5

A numerical representation of the same data would be, for instance,

druguse4	druguse3	druguse2	druguse1	id
0	0	5	1	1
0	1	4	5	2
0	0	1	3	3
6	2	3	4	4
0	0	0	7	5

with the label definitions 1 "cannabis", 2 "cocktail", 3 "heroin", 4 "cocaine", 5 "ecstasy", 6 "morphine", 7 "LSD", ..., 0 "no further".

Because the multiple responses are stored as a set of polytomous variables, I will call this the *polytomous mode*. The approach is suitable if the list of possible response categories is not clearly defined *ex ante* or if the list is rather long. Sometimes it is also convenient to use the polytomous mode because it reflects the way that the data have been collected. The obvious disadvantage of this approach is that the number of required variables depends on the observed maximum "length" (number of tokens) of a multiple response, which is not known in advance (unless explicitly limited in the

questionnaire). Most of the time, it is possible to make reasonable assumptions about this maximum, though.

Depending on the context, the ordering of the answers can be of substantial interest. For example, an instruction to order the drugs according to the frequency of consumption could have been included in the questionnaire. In this paper, however, I will not address the issue of ordered responses.

Of course there are other approaches to storing multiple responses besides the two that have been discussed here; e.g., composite string variables can be used or the data can be stored in long form. See Cox and Kohler (2003a,b) for an overview and advice on how to convert the data into different structures.

# 3 Analyzing multiple responses

Because of the complex data structure even simple descriptive analyses of multiple-response data like tabulating frequency distributions can be quite involved—especially if the data are stored according to the polytomous mode. A simple solution to the problem would be, of course, to tabulate the single variables on their own and count the frequencies together by hand. A more efficient approach is to transform the data to binary indicators (e.g., using zb\_qrm by Eric Zbinden or mrdum by Lee Sieswerda, both available from the SSC Archive) and then use tabstat to tabulate the means of the indicator variables (see [R] tabstat). However, this approach is rather limited and is still a lot of work. In addition, there are quite a few details that have to be taken into account while transforming the data, and the whole process is vulnerable to mistakes.

To avoid having to figure out how to transform and analyze multiple responses over and over again, fairly general and easy-to-use commands should be available. There is, for example, the official tabulate command (see [R] tabulate) to calculate frequency distributions of ordinary variables, and there should be a basic procedure to tabulate multiple responses. In the remainder of this paper, I will thus present the results of some approaches to providing such general and user-friendly instruments.

<sup>&</sup>lt;sup>1</sup>Of course, the technical maximum length is equal to the number of distinct items covered by the question (e.g., if someone took *all* drugs), unless repeated items are allowed. However, the *reasonable* maximum is usually much smaller than the technical maximum.

#### 3.1 Tables

#### **Syntax**

```
One-way tables

mrtab varlist [weight] [if exp] [in range] [, poly response(numlist)

countall include includemissing casewise title(string) width(#)

abbrev nolabel nonames format(%fmt) integer sort[(#)] descending
generate(prefix) nofreq]

Two-way tables

mrtab varlist [weight] [if exp] [in range], by(varname) [column row cell
rcolumn rcell chi2 lrchi2 mtest[(method)] mlrchi2 wrap one-way_options]

by ...: may be used with mrtab; see [R] by.
fweights and aweights are allowed with mrtab; see [U] 14.1.6 weight.
```

#### Description

mrtab tabulates multiple responses which are stored as a set of variables (varlist). mrtab can handle the two data-storage modes explained above, that is, the indicator mode and the polytomous mode (see the poly option below). The multiple-response variables have to be numeric in the first case and can be numeric or string in the latter (it is not possible to mix numeric and string variables, though). Note that the response variables, if numeric, should contain integer values only. To specify how the values of the variables are to be interpreted, use the response() option (see below).

mrtab will display either a one-way table of the unconditional distribution of the responses or, if applying by(varname), a two-way table of the conditional distributions with respect to the groups defined by the values of varname. In the former case, counts, frequencies divided by the total of responses, and frequencies divided by the total of observations will be reported.<sup>2</sup> In the latter case, cell counts and, optionally, column, row, or cell percentages based on totals of responses or totals of observations are displayed (see the column, row, cell, rcolumn, and rcell options).

There are various possibilities to influence the appearance of the displayed table. For example, the display formats of the cell statistics may be specified (format(), integer), and the width of the left stub of the table can be set (width()). It is also possible to influence the labeling (nolabel, nonames, name()) and to sort the response items in order of frequency (sort).

<sup>&</sup>lt;sup>2</sup>I will use the terms "observations", "cases", and "respondents" interchangeably in this paper to refer to the objects which data are gathered from, that is, the basic units of analysis (typically, each unit is represented by one row in the data matrix). In contrast, the term "response" refers to the single parts of an answer to a multiple-response question (thus, for multiple-response questions, the total number of responses is typically greater than the total number of units of analysis).

An important issue in calculating relative distributions of multiple responses is the determination of the correct denominator. First, depending on the research question, one has to choose between totals of observations or totals of responses as indicated above (usually, it is proportions on the basis of observations one is interested in). Second, and perhaps less obvious, the sample of relevant observations has to be isolated depending on the nature of the data and the topic of research. The default in mrtab is to treat all observations containing at least one response as valid. This behavior can be changed to additionally accounting for cases with zero responses (see the include and includemissing options). Furthermore, one might want to consider cases with complete information only and neglect all cases with one or more missing values (see the casewise option).

mrtab also provides (limited) support for significance tests in two-way tables. On the one hand, it is possible to perform a series of separate  $\chi^2$  tests for each response item and adjust the *p*-values to account for simultaneous testing (see the mtest() and mlrchi2 options). On the other hand, overall  $\chi^2$  tests are available (chi2 and lrchi2).

Irrespective of the data structure of the original variables,  $\mathtt{mrtab}$  always transforms the data to binary indicator variables internally. It is possible to leave behind the generated indicators for further analysis (see the  $\mathtt{generate}()$  option). The generated variables take on the values 1 or 0—with 1 indicating a response—for the sample of relevant cases (see above); irrelevant cases contain missing values (.) for these variables. Thus using  $\mathtt{mrtab}$  to produce indicator variables may also make sense if the data are in the indicator mode already but are not 0/1 or should be harmonized to cover the same subsample of cases.

#### ☐ Technical Note

If the multiple-response data are stored according to the polytomous mode and if the used variables are numeric, the information for labeling the rows (i.e., the response categories) is taken from the label definitions of the *first* variable. It is therefore important that the labels of the first variable be well defined. A good approach is, for example, to use just one set of label definitions, which is attached to all variables used for a certain multiple-response question.

# One-way table options

poly specifies that the responses are stored according to the polytomous mode. If poly is not specified, mrtab assumes that the responses are stored according to the indicator mode. However, string response variables imply poly.

response(numlist) specifies the (range of) response values. If the data are stored according to the indicator mode, response() specifies the values that indicate a response to the item. response() defaults to 1 in this case. Note that the indicator variables do not necessarily have to be dichotomous since a list or range of values may be specified. If the data are stored according to the polytomous mode, response()

specifies the list or range of responses that are to be tabulated. The default is to tabulate every value observed for the response variables (except for missing values). In the case of string variables, the response() option is obsolete.

countall requests that repeated identical responses be added up (allowed only for polytomous response variables; see the poly option). By default, repeated identical responses will only be counted once per observation. Note that significance tests may not be requested if countall is specified. Be careful interpreting results that are labeled "percentage of cases"; though they reflect the mean number of responses per observation, they cannot be interpreted as proportions.

include specifies that observations composed of zero responses be treated as valid. Only cases with "real" missings (., .a, .b, .c, ...) for all response variables will be excluded. Note that include will affect only the number of valid cases; i.e., both the absolute distribution of responses and the distribution relative to the total of responses will remain unchanged. In the case of string response variables, include specifies that cases with only empty strings ("") be treated as valid.

includemissing is an enhancement to include and specifies that cases be treated as valid, even if all response variables are missing. includemissing implies include. Specifying includemissing in connection with casewise has the effect that cases with missing values for at least one of the response variables will be treated as valid cases composed of zero responses.

casewise specifies that observations with missing values for at least one of the response variables be excluded listwise.

title(string) may be used to label the multiple-response set. string will be printed at the head of the table.

width(#) specifies the maximum width (number of characters) used to display the labels of the responses. Labels that are too wide are wrapped (or abbreviated if the abbrev option is specified). The default width is 30. The minimum width is 11.

abbrev specifies that long response labels be abbreviated rather than wrapped.

nolabel suppresses the printing of labels.

nonames suppresses the printing of variable names or category values in the left stub of the table; i.e., only the labels will be printed. This option has no effect if the responses are recorded by string variables, and it is not allowed if the response variables are unlabeled or the nolabel option is specified.

format(%fmt) specifies the display format for relative frequencies.

integer specifies the display of frequencies as integers, even if aweights are applied.

- sort (#) displays the table rows in ascending order of frequency. In the case of a two-way table, the sorting will correspond to the row totals, unless a reference column is specified in parentheses. That is, sort(1) will sort in order of the frequencies in the first column (first by-group), sort(2) in order of the frequencies in the second column, and so on. Specify the descending option to sort in descending order.
- descending specifies that the sort order be descending. The default is to sort in ascending order. This is only relevant if the sort option is specified.
- generate(prefix) creates a set of indicator variables reflecting the observed responses. The variables will be labeled and named according to the prefix provided. If the name(string) option is specified, the first eight characters of string are inserted into the variable labels. If the chi2 or lrchi2 options are specified, generate will additionally return a composite string variable, prefixrp, which reflects response patterns (each unique combination of responses is represented by a string of zeros and ones).
- nofreq suppresses printing the frequencies (i.e., the whole frequency table will be suppressed, unless cell, column, row, rcell, or rcolumn is specified for two-way tables).

#### Two-way table options

- by (varname) is required and tabulates the distribution of responses against the categories of varname (two-way table). The by-variable may be string or numeric.
- column displays in each cell of a two-way table the relative frequency of that cell within its column (base: column total of observations).
- row displays in each cell of a two-way table the relative frequency of that cell within its row (base: row total of responses; this is equal to the row total of observations unless countall is specified).
- cell displays the relative frequency of each cell in a two-way table (base: total number of valid observations).
- rcolumn displays in each cell of a two-way table the relative frequency of that cell within its column (base: column total of responses).
- rcell displays the relative frequency of each cell in a two-way table (base: total number of responses).
- chi2 requests the calculation of an overall Pearson's  $\chi^2$  statistic for the hypothesis that the distribution of response patterns is independent of the values of the by-variable. That is, a standard  $\chi^2$  test is applied to an expanded two-way table, where the rows represent unique combinations of responses. Note that the chi2 option is not allowed if aweights are specified.
- 1rchi2 requests the calculation of an overall likelihood-ratio  $\chi^2$  statistic (as an alternative to chi2). Note that the 1rchi2 option is not allowed if aweights are specified and that the statistic will not be calculated if there are empty cells.

 ${\tt mtest}[\ (method)\ ]$  requests the calculation of separate Pearson  $\chi^2$  statistics for each response category. That is, a test is carried out for each response category to establish whether the probability of observing the response depends on the values of the by-variable. Note that the  ${\tt mtest}$  option is not allowed if  ${\tt aweights}$  are specified. Multiple-test adjustments may be requested by specifying the method in parentheses. Currently available methods are  ${\tt bonferroni}$ ,  ${\tt holm}$ ,  ${\tt sidak}$ , and  ${\tt noadjust}$ . See the online help for  ${\tt mtest}$  for further information.

mlrchi2 requests mtest to use the likelihood-ratio  $\chi^2$  statistics instead of Pearson's  $\chi^2$ .

wrap requests that no action be taken on wide two-way tables to make them readable. Unless wrap is specified, wide tables are broken into pieces to enhance readability.

#### **Saved Results**

## mrtab saves in r():

Sca	lars			
	r(N)	number of valid cases	r(p)	$p$ -value of the overall Pearson's $\chi^2$
	r(N_miss)	number of missing cases	r(chi2_lr)	overall likelihood-ratio $\chi^2$ if lrchi2 is specified
	r(r)	number of response categories	r(p_lr)	$p$ -value of the overall likelihood-ratio $\chi^2$
	r(c)	number of by-groups if by() is specified	r(df)	degrees of freedom of the overall $\chi^2$ tests
	r(chi2)	overall Pearson's $\chi^2$ if chi2 is specified		
Ma	cros			
	r(list)	list of the labels of the responses, if available	r(bylist)	list of the labels of the by-groups, if available
	r(mode)	either indicator or poly, depending on the mode of the multiple-response variables	r(bytype)	either numeric or string, depending on the storage type of the by-variable
	r(type)	either numeric or string, depending on the storage type of the multiple-respons variables	e	
Ma	trices			
	r(responses)	frequencies of responses	r(mchi2)	Pearson's $\chi^2$ and (adjusted) p-values of the separate tests if mtest is specified
	r(cases)	cases in by-groups if by() is specified	r(mchi2_lr)	likelihood-ratio $\chi^2$ and (adjusted) $p$ -values of the separate tests if mtest and mlrchi2 are specified

# 3.2 Graphs

#### **Syntax**

```
mrgraph {bar | hbar | dot | tab } varlist [weight] [if exp] [in range] [, poly
    response(numlist) countall include includemissing casewise sort[(#)]
    descending by(varname[, by_subopts]) stat(statname) rtotal ctotal
    nopercent nolabel addval[(string)] width(#) height(#)
    oversubopts(over_subopts) graph_options]

where by_subopts is inboard
    or outboard [over_subopts]
    or separate [suboptions]

and statname is {freq|column|row|cell|rcolumn|rcell}
```

fweights and aweights are allowed with mrgraph; see [U] 14.1.6 weight.

#### Description

mrgraph is a utility to produce graphs of multiple-response variables. The syntaxes mrgraph bar, mrgraph hbar, and mrgraph dot are specified to indicate use of graph bar, graph hbar, and graph dot, respectively (see [G] graph bar and [G] graph dot). The size (height or length) of the bars or the position of the dots in the graph corresponds to the frequencies (or, optionally, proportions) of the responses. Thus mrgraph works pretty much like Nick Cox's catplot (Cox 2004), only the frequencies of the categories of a multiple-response question are plotted instead of those of an ordinary categorical variable. Furthermore, mrgraph tab produces table plots in the manner of tabplot (Cox 2004). mrgraph is implemented as a wrapper for mrtab followed by \_mrsvmat (see below) and graph.

#### **Options**

poly specifies that the responses are stored in polytomous mode. See section 3.1 for details on this option.

response(numlist) specifies the (range of) response values. See section 3.1 for details on this option.

countall requests that repeated identical responses be added up. See section 3.1 for details on this option.

include specifies that observations composed of zero responses be treated as valid. See section 3.1 for details on this option.

includemissing is an enhancement to include and specifies that cases be treated as valid, even if all response variables are missing. See section 3.1 for details on this option.

- casewise specifies that observations having missing values for at least one of the response variables be excluded listwise.
- sort[(#)] draws the categories in ascending order of frequency. If the by() option
  is specified, the sorting will correspond to the totals over all groups, unless a reference group is specified in parentheses. That is, sort(1) will sort in order of the
  frequencies in the first by-group, sort(2) in order of the frequencies in the second
  by-group, and so on. Specify the descending option to sort in descending order.
- descending specifies that the sort order be descending. The default is to sort in ascending order. This is only relevant if the sort option is specified.
- by(varname[, by\_subopts]) draws the conditional distributions of responses for the categories of varname. The by-variable may be string or numeric. The by\_subopts control the grouping of the results in the graph if the graph type is mrgraph bar, mrgraph hbar, or mrgraph dot. Possible specifications are

#### inboard

The categories of the by-variable are grouped within the categories of the multipleresponse variables. This is the default.

#### outboard | over\_subopts |

The categories of the multiple-response variables are grouped within the categories of the by-variable. The separation of the by-groups is implemented as an additional over statement in the internal graph call. Thus *over\_subopts* may be specified. See [G] graph bar and [G] graph dot.

#### separate | suboptions |

For each category of the by-variable, a separate plot is drawn within a single graph. This conforms to the default behavior of the by option in Stata's graph commands (which, however, is not the default in mrgraph). See [G] by\_option for details on the suboptions.

stat(statname) determines the statistic on which the graph is to be based. statname
is either freq, if raw frequencies are used, or column (base: column total of observations), row (base: row total), cell (base: grand total of valid observations),
rcolumn (base: column total of responses), or rcell (base: grand total of responses),
if relative frequencies are used. stat(freq) is the default.

rtotal specifies that row totals be reported.

ctotal specifies that column totals be reported.

nopercent specifies that relative frequencies be formatted as proportions (e.g., .271) instead of percentages (e.g., 27.1).

nolabel specifies that labels be ignored.

- addval [(string)] specifies that labels and values (or variable names in the case of the indicator mode) be used to mark the responses in the graph. The values and labels will be separated by string if specified or by a blank otherwise (use quotes if the desired delimiter is supposed to have leading or trailing blanks, i.e., addval(": ")). If the addval option is not specified, labels are used exclusively. If no labels are available, however, values are used, and addval will have no effect. Furthermore, addval will have no effect if the response variables are string.
- width(#) specifies the maximum width (number of chars) used to draw the labels of the responses. Labels that are too wide are wrapped. Note that the single words in the labels will not be broken. If no width is specified, labels are not wrapped.
- height(#) controls the amount of available graph space taken up by bars in the table plot. This option is only relevant if the graph type is mrgraph tab. The default is 0.8.
- oversubopts (over\_subopts) may be used to pass through suboptions to the over option, which is applied by mrgraph in the internal call of the graph command. This is only relevant for the graph types mrgraph bar, mrgraph hbar, and mrgraph dot. For further explanations of the over option and its suboptions, see [G] graph bar and [G] graph dot. Do not use the sort suboption; use mrgraph's own sort option instead (see above).

graph\_options are any options documented in [G] graph bar, [G] graph hbar, ....

#### 3.3 From tables to datasets

#### **Syntax**

```
_mrsvmat [, \underline{s}tat(statname) \underline{rt}otal \underline{ct}otal \underline{nopercent nol}abel clear] where statname is {\underline{f}req|\underline{col}umn|\underline{r}ow|\underline{cell}|\underline{rcol}umn|\underline{rcell}}
```

#### Description

\_mrsvmat is a low-level utility which may be used after mrtab to prepare a data matrix for creating graphs of the tabulated distribution. Do not use \_mrsvmat, unless you are confident that mrgraph does not meet your needs. It is important to understand that \_mrsvmat will destroy the data in memory; therefore, it should always be used in connection with preserve (see [R] preserve). \_mrsvmat will replace the data in memory with a data matrix created from the results left behind by mrtab. Each row of the new data will represent one row of the table displayed by mrtab; that is, each row will represent one response category. Additionally, a row holding column totals will be added if the ctotal option is specified. \_mrsvmat will generate the following variables:

105

Variable	Description
R	Values of the response categories or names of the variables representing the response items, depending on the structure of the original data.
L	Labels of the response categories. Variable L will be suppressed if either no labels are found or the nolabel option is specified.
C1, C2,	Frequencies of responses. In the case of two-way tables, each variable represents one column of the multiple-response table. In the case of a one-way table, just one variable, C1, will be created. Depending on the user's choice, the data cells will either contain raw counts or frequencies relative to a specified base (see the stat() option).
Т	Row totals if option rtotal is specified; suppressed otherwise.

#### **Options**

stat(statname) determines the statistic to be saved. statname is either freq for raw frequencies or column (base: column total of observations), row (base: row total), cell
 (base: grand total of valid observations), rcolumn (base: column total of responses),
 or rcell (base: grand total of responses) for relative frequencies. stat(freq) is the
 default.

rtotal specifies that row totals be saved.

ctotal specifies that column totals be saved.

nopercent specifies that relative frequencies be formatted as proportions (e.g., .271) instead of percentages (e.g., 27.1).

nolabel specifies that labels be ignored.

clear allows \_mrsvmat to clear the data in memory without asking for confirmation.

# 4 Remarks and examples

To illustrate the use of multiple-response commands, I will present analyses of data collected by Braun et al. (2001). The respondents in this study were drug addicts in three major cities in Switzerland in 1997.

#### 4.1 One-way tables

#### The indicator mode

The respondents in the study by Braun et al. (2001) were asked to indicate their sources of income in the last three months. A list of possible sources was provided in the questionnaire. Braun et al. recorded the data with a set of 0/1 variables, one for each income source:

- . use drugs (1997 Survey Data on Swiss Drug Addicts)
- . describe inco1-inco7

variable name	storage type	display format	value label	variable label
inco1	byte	%8.0g	yesno	private support (partner, family, friends)
inco2	byte	%8.0g	yesno	<pre>public support (unemployment insurance, social benefits)</pre>
inco3	byte	%8.0g	yesno	drug dealing
inco4	byte	%8.0g	yesno	housebreaking, theft, robbery
inco5	byte	%8.0g	yesno	prostitution
inco6	byte	%8.0g	yesno	"mischeln"/begging
inco7	byte	%8.0g	yesno	legal occupation

Note that "mischeln" is a Swiss-German word and describes a form of begging in which the beggar actively approaches people for money (i.e., walks up to them and asks them for money).

In the first step of the data analysis one would probably like to tabulate the sample distribution of the income sources. However, because the information is stored across several variables, the tabulate command is not very convenient: each of the indicator variables would have to be tabulated separately. Because the variables are 0/1 in the present case, we could, however, use the tabstat command to tabulate the sums and the proportions of the responses:

. tabstat inco1-inco7, s(sum mean) c(s)

variable	sum	mean
inco1 inco2 inco3 inco4 inco5 inco6 inco7	226 607 293 50 82 151 352	.2325103 .6244856 .3014403 .0514403 .0843621 .1553498 .3621399

We see, for example, that 36 % of the respondents ticked the 7th income source (legal occupation). Unfortunately, the tabstat's output is rather meager. A more informative output can, however, be obtained by using the new mrtab command:

(Continued on next page)

. mrtab inco1-inco7, sort title(Sources of income)

	Sources of income	Frequency	Percent of responses	Percent of cases
inco4	housebreaking, theft, robbery	50	2.84	5.19
inco5	prostitution	82	4.66	8.52
inco6	"mischeln"/begging	151	8.57	15.68
inco1	private support (partner,	226	12.83	23.47
	family, friends)			
inco3	drug dealing	293	16.64	30.43
inco7	legal occupation	352	19.99	36.55
inco2	public support (unemployment	607	34.47	63.03
	insurance, social benefits)			
	Total	1761	100.00	182.87

Valid cases: 963 Missing cases: 9

The different response categories are nicely labeled, and it is possible, for instance, to sort the categories in order of frequency. Therefore, it is immediately evident from the table above that "public support" is the source of income that has been named the most often, whereas "housebreaking, theft, robbery" is the least-frequent source of income.

In addition to percentages on the basis of observations (i.e., cases) mrtab also reports percentages with respect to the overall sum of responses. For example, 35% of all responses are "public support". Furthermore, mrtab also provides a row reporting the totals over all response categories. Note that the total printed in the "percentage of cases" column reflects the average number of responses per subject (multiplied by 100). Thus the mean number of sources of income is approximately 1.8 in this study.

#### ☐ Technical Note

Note that, in the above example, the percentages reported by mrtab slightly differ from the means calculated by tabstat. The reason for the difference is that mrtab excluded 9 observations for which no sources of income were recorded. In such cases, it is often not clear a priori whether the respondent refused to answer the question (and thus should be excluded from analysis) or whether the correct response is simply "none" (in which case, the observation should be accounted for). Because the determination of the correct denominator depends on the context (e.g., the specific construction of the question, the topic, how the data have been recorded, etc.), mrtab provides specific options to determine the sample of valid observations (include, includemissing, casewise). In the context of the present example, it seems reasonable to include the observations with zero responses because the given list of seven different income types is probably not exhaustive. We, thus, should have specified

. mrtab inco1-inco7, include sort title(Sources of income)

	Sources of income	Frequency	Percent of responses	Percent of cases
inco4	housebreaking, theft, robbery	50	2.84	5.14
inco5	prostitution	82	4.66	8.44
inco6	"mischeln"/begging	151	8.57	15.53
inco1	private support (partner,	226	12.83	23.25
	family, friends)			
inco3	drug dealing	293	16.64	30.14
inco7	legal occupation	352	19.99	36.21
inco2	public support (unemployment	607	34.47	62.45
	insurance, social benefits)			
	Total	1761	100.00	181.17

Valid cases: 972 Missing cases: 0

#### ☐ Technical Note

Although the variables in the indicator mode are interpreted in a dichotomous manner, they do not necessarily have to be 0/1 nor dichotomous in general. Basically, all kinds of variables are allowed, as long as they are integer. By default, mrtab interprets the value "1" as a response and all the other values (e.g., "0") as "no response". However, the default response value may be changed via the response (numlist) option. If specified, any value of numlist will be considered as indicating a response.

The respondents in the drug study were also asked about their experiences with crime. ("Have you been involved in the following offenses . . . during the last 12 months: hit someone; used a weapon against someone; sexual harassment, rape; robbery; blackmail?") For each of the different types of crimes a variable like the following has been recorded:

#### . codebook crime1

crime1					hit someone
	type: label:	numeric crime	(byte)	_	_
	range: unique values:	[0,3] 4		units: 1 missing .: 65/9	972
	tabulation:	716 62 97		no yes, as committer yes, as victim	
		32 65	3	yes, both	

As indicated by the value labels, it is probably sensible to distinguish between criminal experiences in the role of a committer and criminal experiences in the role of the victim. Thus with the help of the response() option, we derive the following results:

. mrtab crime1-crime5, include response(1 3) title(Crime (as committer)) nonames

Crime (as committer)	Frequency	Percent of responses	Percent of cases
hit someone use a weapon against someone sexual harassment, rape robbery (including drug theft) blackmail	94 20 1 51 8	54.02 11.49 0.57 29.31 4.60	10.36 2.21 0.11 5.62 0.88
Total	174	100.00	19.18

Valid cases: 907 Missing cases: 65

. mrtab crime1-crime5, include response(2 3) title(Crime (as victim)) nonames

Crime (as victim)	Frequency	Percent of responses	Percent of cases
hit someone	129	41.08	14.22
use a weapon against someone	27	8.60	2.98
sexual harassment, rape	31	9.87	3.42
robbery (including drug theft)	99	31.53	10.92
blackmail	28	8.92	3.09
Total	314	100.00	34.62

Valid cases: 907 Missing cases: 65

The first part of the output reports the frequencies of criminal experiences as a committer (or committer and victim), the second the frequencies of experiences as a victim (or victim and committer). Apparently, the respondents reported that they had been a victim of a crime considerably more often than they had committed a crime themselves. Thus either the sample is selective, the respondents did not report all the crimes they committed, or there are a few subjects committing many crimes repeatedly. Note that 65 respondents with missing information for this question were excluded.

#### The polytomous mode

Sometimes, if the list of possible response categories is open, for instance, it is convenient to store the answers to multiple-response questions as a set of polytomous variables. For example, the answers to the question on income sources of drug addicts in Switzerland could have been recorded in polytomous mode rather than using a set of indicator variables. The maximum number of possible responses per observation is seven in this specific case because the list of response categories is limited to seven different income sources. However, the realized maximum of responses is only six. Thus six polytomous response variables are required. The following output shows one of them:<sup>3</sup>

<sup>&</sup>lt;sup>3</sup>The data have been generated by saving each respondent's answers in random order. The shuffling of the answers was done by the sortlistby2 command, which is available from the SSC Archive (type ssc describe sortlistby).

. codebook pinco1

pinco1				(unlabeled)
	type: label:		(byte)	
	range: unique values:	-		units: 1 missing .: 0/972
	tabulation:	Freq. 9 98	Numeric -1 1	no further sources
		373	2	
		138	3	drug dealing
		17	4	housebreaking, theft, robbery
		38	5	prostitution
		74	6	mischeln"/begging
		225	7	legal occupation

Multiple responses that are stored in such a way cannot be tabulated using tabstat because the information on the single response categories is spread out over several variables. The data would have to be transformed to indicator mode beforehand, which is quite a complicated task. However, polytomous multiple-response variables can be tabulated by mrtab if the poly option is specified:

. mrtab pinco1-pinco6, poly response(1/7) include sort abbrev

	Frequency	Percent of responses	Percent of cases
4 housebreaking, theft, robbery	50	2.84	5.14
5 prostitution	82	4.66	8.44
6 "mischeln"/begging	151	8.57	15.53
1 private support (partner, fami	226	12.83	23.25
3 drug dealing	293	16.64	30.14
7 legal occupation	352	19.99	36.21
2 public support (unemployment i	607	34.47	62.45
Total	1761	100.00	181.17

Valid cases: 972 Missing cases: 0

Furthermore, string variables can also be tabulated by mrtab, as is shown in the following example. Note that it is not necessary to specify the poly option in the case of string variables.

. codebook sinco1

sinco1 (unlabeled)

type: string (str56) unique values: missing "": 9/972 tabulation: Freq. Value 74 ""mischeln"/begging" "drug dealing" 138 "housebreaking, theft, robbery" 17 225 "legal occupation" 98 "private support (partner, family, friends)" 38 "prostitution"

social benefits)"

"public support (unemployment insurance,

warning: variable has embedded blanks

. mrtab sinco1-sinco6, include sort abbrev

	Frequency	Percent of responses	Percent of cases
housebreaking, theft, robbery	50	2.84	5.14
prostitution	82	4.66	8.44
"mischeln"/begging	151	8.57	15.53
private support (partner, fami	226	12.83	23.25
drug dealing	293	16.64	30.14
legal occupation	352	19.99	36.21
public support (unemployment i	607	34.47	62.45
Total	1761	100.00	181.17

Valid cases: 972 Missing cases: 0

#### ☐ Technical Note

In the case of "half-open" multiple-response questions, a mixed storage design is sometimes applied (half-open means that some categories are spelled out and can be ticked, but there are also some empty lines which can be filled with further answers). That is, the first part of the answers is stored in indicator mode (the tickable items) while the rest are held in polytomous mode. It may be convenient to use mrtab's generate() option in such a case to transform the polytomous part to indicator mode.

#### Significance tests

The test for equality of proportions in matched samples proposed by Cochran (1950) may be applied to evaluate the significance of the differences among the proportions of the single response categories. If c is the number of response categories,  $T_j$  the number of responses in the jth category,  $\overline{T}$  the mean number of responses per category, and  $u_i$ 

the number of responses in the ith observation, the test statistic proposed by Cochran is then defined as

$$Q = \frac{c(c-1)\sum_{j}(T_j - \overline{T})^2}{c\sum_{i}u_i - \sum_{i}u_i^2}$$

For large samples, Q is  $\chi^2$ -distributed with (c-1) degrees of freedom. Note that in the case of only two response categories, the Cochran test is equal to the McNemar  $\chi^2$  test implemented in mcc (see [ST] epitab).

In our example on income sources, the differences in the proportions of the various responses are highly significant (this is not surprising since the proportions range from 5% to 62%!):

Note that the cochran command is not part of the official release of Stata. However, it can be obtained from the SSC Archive (type ssc install cochran).

#### ☐ Technical Note

An alternative approach to testing for differences in the proportions would be to transform the data to long format (see [R] **reshape**) and then estimate, for example, a logistic regression, including dummy variables for the different response categories and correcting the standard errors for the clustering on observations:

```
. preserve
. mrtab pinco1-pinco6, poly response(1/7) nofreq include generate(_inco)
. reshape long _inco, i(id) j(R)
  (output omitted)
```

(Continued on next page)

_inco	Coef.	Robust Std. Err.	z	P> z	[95% Conf	. Interval]
_IR_2	1.702822	.1020924	16.68	0.000	1.502725	1.902919
_IR_3	.3537421	.1016399	3.48	0.001	.1545315	.5529527
_IR_4	-1.720332	.1598888	-10.76	0.000	-2.033708	-1.406955
_IR_5	-1.190312	.137136	-8.68	0.000	-1.459093	9215301
_IR_6	4990527	.1091339	-4.57	0.000	7129512	2851541
_IR_7	.6281023	.1018616	6.17	0.000	.4284573	.8277473
_cons	-1.194191	.0759684	-15.72	0.000	-1.343086	-1.045295

. restore

The null hypothesis of equal proportions is rejected if the overall Wald  $\chi^2$  of the model is significant (which is obviously the case here). Note that the choice of the logistic regression model is not crucial here. We might as well use probit or even linear regression. The only really important thing is to take account of the clustering (an issue that is sometimes referred to as "matched samples"). We might also think of applying panel models, e.g., xtlogit or xtreg (see [XT] xt; the tests based on panel models will usually be more efficient).

#### 4.2 Two-way tables

In most applications, not only the marginal distribution of the responses is of interest, but also the conditional distributions with regard to the values of some other variable. For example, it might be interesting to examine the differences among the drug scenes in the three cities covered in the study by Braun et al. (2001). Again considering the income sources of the drug addicts, we could use mrtab to produce the following two-way table:

(Continued on next page)

. mrtab inco1-inco7, include sort title(Sources of income) nonames
> width(28) by(city) column

Key
frequency of responses column percent of cases

Sources of income	City in whi Basel	Total		
housebreaking, theft, robbery	13	23	14	50
	3.74	7.99	4.17	5.14
prostitution	20	38	24	82
	5.75	13.19	7.14	8.44
"mischeln"/begging	43	62	46	151
	12.36	21.53	13.69	15.53
private support (partner, family, friends)	92	71	63	226
	26.44	24.65	18.75	23.25
drug dealing	102	101	90	293
	29.31	35.07	26.79	30.14
legal occupation	132	103	117	352
	37.93	35.76	34.82	36.21
public support (unemployment insurance, social benefits)	205	172	230	607
	58.91	59.72	68.45	62.45
Total Cases	607 174.43 348	570 197.92 288	584 173.81 336	1761 181.17 972

Valid cases: 972 Missing cases: 0

It is striking that the drug scene in Bern is quite different from the scenes in the two other cities. The Bern scene seems to be much more criminal: the measured rates of theft/robbery, prostitution, and drug dealing are clearly highest. In addition, the proportion of beggars is highest in the Bern sample. Another substantial difference in the table is that Zurich has a relatively high rate of drug addicts who live off public support, whereas the proportion of respondents receiving private support is relatively low. Possibly, there is a tradeoff between public and private support.

#### Significance tests

In order to assess whether the observed differences between the cities are significant or not, we can, for example, conduct an overall Pearson or likelihood-ratio  $\chi^2$  test that is based on an expanded table of the frequencies of response "patterns" by cities. Applied to the above table, the results of the tests are

The Pearson  $\chi^2$  test indicates that the differences are indeed significant (assuming a 5% significance level). But what about the likelihood-ratio test? Apparently it could not be evaluated because of the occurrence of empty cells. The problem is that the test is based on a variable identifying response "patterns". In the example above, the patterns variable looks as follows (first six cases only):

```
. list _incorp in 1/6, clean
 _incorp
1. 0000010
2. 0100000
3. 0000010
4. 0100000
5. 0000001
6. 1100000
. drop inco*
```

That is, a response pattern is a composite string of zeros and ones with ones indicating a response. For instance, respondent 1s source of income is a legal occupation, respondent 6s sources are theft and prostitution, etc. As the number of response categories increases, the number of unique response patterns grows exponentially. In the present case of seven categories, there are  $2^7 = 128$  unique patterns. This means that the table of the patterns variable against the three cities potentially has  $128 \cdot 3 = 384$  cells. Quite a few observations are needed to fill all these cells. The overall test, thus, is not very powerful (many degrees of freedom) and is only suited if there are very few categories.

A better and probably more informative strategy is to test each row of the table separately. This can be done using standard  $\chi^2$  or likelihood-ratio tests for two-way tables; in fact, each row of a two-way multiple-response table is nothing else than a dense version of a  $2 \times k$  table of a specific response indicator against the by-variable. Use mrtab's mtest option to add a column to the multiple-response table reporting the results of the row-specific tests:

(Continued on next page)

. mrtab inco1-inco7, include sort title(Sources of income) nonames
> width(19) by(city) column mtest(bonferroni)

Key
frequency of responses column percent of cases

	City in which the interview was conducted				
Sources of income	Basel	Bern	Zurich	Total	chi2/p*
housebreaking,	13	23	14	50	6.840
theft, robbery	3.74	7.99	4.17	5.14	0.229
prostitution	20	38	24	82	12.427
	5.75	13.19	7.14	8.44	0.014
"mischeln"/begging	43	62	46	151	11.433
	12.36	21.53	13.69	15.53	0.023
private support (partner, family, friends)	92	71	63	226	6.111
	26.44	24.65	18.75	23.25	0.330
drug dealing	102	101	90	293	5.232
	29.31	35.07	26.79	30.14	0.512
legal occupation	132	103	117	352	0.751
	37.93	35.76	34.82	36.21	1.000
public support (unemployment insurance, social benefits)	205 58.91	172 59.72	230 68.45	607 62.45	7.938 0.132
Total Cases	607 174.43 348	570 197.92 288	584 173.81 336	1761 181.17 972	

<sup>\*</sup> Pearson chi2(2) / Bonferroni adjusted p-values

Valid cases: 972 Missing cases: 0

Note that, in the example, the *p*-values have been adjusted for the fact that a series of tests is performed (see, e.g., Wright 1992). There are several adjustment methods available (<u>bonferoni</u>, <u>holm</u>, <u>sidak</u>; see the online help for <u>mtest</u> for formulas and further details). The results above indicate that the differences among the three cities concerning prostitution and begging are significant.

#### ☐ Technical Note

An alternative and more flexible strategy would again be to reshape the data and apply regression models. First, dummy variables for the different response categories need to be introduced to the model to account for the different levels of prevalence.

To evaluate the overall significance of the differences among the response distributions in the three cities, we then include dummy variables for the cities, as well as for all interactions between cities and response categories, and perform a joint test for all these parameters:

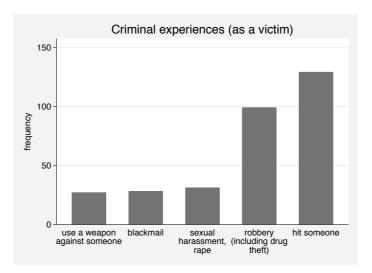
```
. preserve
. mrtab inco1-inco7, include nofreq generate(_inco)
. reshape long _inco, i(id) j(R)
 (output omitted)
. xi: logit _inco i.R*i.city, cluster(id)
 (output omitted)
. unab I : _Icity* _IRX*
. test 'I'
(1)
       _{\text{lcity}}_{2} = 0
(2) _{\text{Icity}_3} = 0
(3) _{IRXcit_{2_2}} = 0
(4)
      IRXcit_2_3 = 0
      _IRXcit_3_2 = 0
(5)
(6)
       IRXcit_3_3 = 0
(7)
      _{IRXcit_4_2} = 0
       _{IRXcit\_4\_3}^{----} = 0
(8)
(9) _{IRXcit_5_2} = 0
(10) \quad _{IRXcit_5_3} = 0
(11)
       IRXcit_6_2 = 0
      IRXcit_6_3 = 0
(12)
       _{\rm IRXcit\_7\_2} = 0
(13)
       _{IRXcit_7_3} = 0
(14)
           chi2(14) =
                           43.12
         Prob > chi2 =
                            0.0001
. restore
```

Again, the choice of a specific model is not critical, as long as the specification is correct and the clustering is accounted for. Very similar results are obtained, for example, using xtlogit (see [XT] xtlogit):

#### 4.3 Graphs

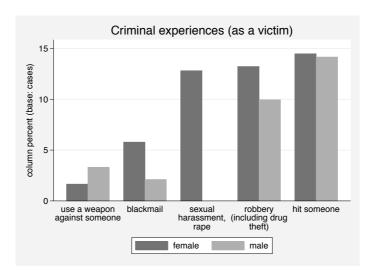
A convenient way to illustrate multiple-response distributions are bar charts whereby the sizes of the bars represent the frequencies of the response categories. For example, the frequencies of criminal experiences as a victim (see section 4.1) could be plotted as follows:

. mrgraph bar crime1-crime5, include response(2 3) sort width(15)
> title(Criminal experiences (as a victim)) ylabel(,angle(0))



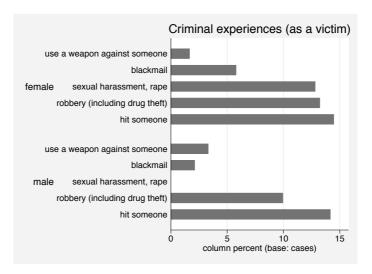
If the frequencies of two-way multiple-response tables are plotted (e.g., criminal experiences by sex), the grouping of the bars becomes relevant. Thus, the by() option of the mrgraph command comes in different flavors. The default is to group the categories of the by-variable within the response categories, as is illustrated in the following example:

- . mrgraph bar crime1-crime5, include response(2 3) sort width(15) by(sex)
- > stat(column) title(Criminal experiences (as a victim))
- > ylabel(,angle(0)) legend(bmargin(t+1))



The advantage of this illustration is that the differences between the by-groups can be seen immediately for each response category. However, it might sometimes be preferable to separate the conditional distributions and thus to group the response categories within by-categories:

```
. mrgraph hbar crime1-crime5, include response(2 3) sort by(sex, outboard)
> stat(column) title(Criminal experiences (as a victim)) ylabel(,angle(0))
```

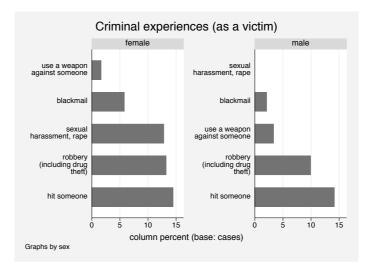


It is also possible to completely separate the conditional distributions and display them as several plots within the same graph. A nice feature of this procedure is that it is possible to sort the separate distributions individually and thus illustrate differences in the ordering of the frequencies:<sup>4</sup>

(Continued on next page)

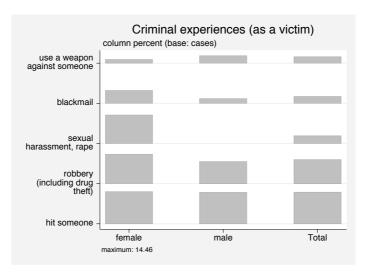
<sup>&</sup>lt;sup>4</sup>Note that it is important to specify sort(1) here to achieve the individual sorting. Specifying the sort option without argument would sort in order of the unconditional distribution.

- . mrgraph hbar crime1-crime5, include response(2 3) sort(1) width(16)
- > stat(column) by(sex, separate title(Criminal experiences (as a victim)))



Last but not least, a very straightforward method to illustrate a two-way multiple-response table is to construct a "table plot" as proposed by Cox (2004) for ordinary two-way tables:

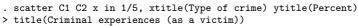
- . mrgraph tab crime1-crime5, include response(2 3) sort width(16)
- > stat(column) by(sex) rtotal title(Criminal experiences (as a victim))

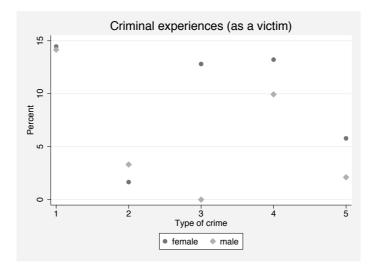


#### ☐ Technical Note

The mrgraph command is implemented as a wrapper for mrtab followed by the low-level utility mrsvmat. The purpose of mrsvmat is to pick up the results calculated by mrtab and compose a dataset representing the results (note that the data in memory will be lost). It can be helpful to use the mrsvmat command manually to produce graphs that are not supported by mrgraph. The following piece of output provides an example:

```
. mrtab crime1-crime5, include response(2 3) by(sex) nofreq
. preserve
. _mrsvmat, stat(column) ctotal rtotal clear
. list, clean string(20)
1.
     crime1
              hit someone
                                        14.46281
                                                    14.15663
                                                               14.23841
2.
              use a weapon against..
                                        1.652893
                                                               2.869757
                                                    3.313253
     crime2
3.
     crime3
              sexual harassment, r..
                                        12.80992
                                                           0
                                                               3,421633
4.
     crime4
              robbery (including d..
                                        13.22314
                                                    9.939759
                                                               10.81678
              blackmail
                                        5.785124
                                                    2.108434
                                                               3.090508
     crime5
                                        47.93388
                                                    29.51807
                                                               34.43708
          T
              Total
. generate x=_n
```





Note that the \_mrsvmat utility can also be useful for exporting the results of mrtab. For example, use Roger Newson's listtex command after having applied \_mrsvmat in order to create a LATEX table (see Newson 2003).

# 5 Acknowledgments

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